

THE INTERPERSONAL DYNAMICS OF TREATMENT  
OF BLOOD ABUSE

BY

JAMES CLAUDE ARDEL

A DISSERTATION PRESENTED TO THE GRADUATE SCHOOL  
OF THE UNIVERSITY OF FLORIDA IN  
PARTIAL FULFILLMENT OF THE REQUIREMENTS  
FOR THE DEGREE OF DOCTOR OF PHILOSOPHY

UNIVERSITY OF FLORIDA

1983

## ACKNOWLEDGMENTS

THIS DISSERTATION IS DEDICATED TO THE SPIRIT OF GRACEDILE AND SOCIAL SERVICE... The author is fortunate to have received patient care and forgiveness in his formative years and to have the opportunity now to return a smaller measure to others. The intention behind this project is to foster a career that serves more to benefit mankind than the material interests of a single individual...

The author is indebted to those who have assisted with this effort. My parents, Clara and Alvaro Acosta, have stood behind me for all of my twenty-nine years. My wife, Esther Sandoz-Acosta, has been an extraordinary companion and deserves credit for both support and endurance. Appreciation is also extended to Carol Wright for administering the research, to Michael Bromberg for analyzing the data, to CHARLES HARRISON and Lee Johnson for their active involvement in the research, and to the clients for participating in the research and sharing their experience in treatment.

This project marks the end of five years of graduate training in applied psychology. The author is grateful to his fellow students and his faculty for the high quality of this experience. Special thanks to the dissertation committee, Barry Brader, Ph.D., Gerardo Gonzalez, Ph.D., Barclay Levitt, Ph.D., David Sotomayor, Ph.D., and Robert Elliot, Ph.D.

# TABLE OF CONTENTS

	Page
ACKNOWLEDGMENTS.....	ii
SUMMARY.....	iv
CHAPTER I: INTRODUCTION AND STATEMENT OF THE PROBLEM.....	1
CHAPTER II: REVIEW OF THE LITERATURE.....	8
Personality Characteristics of Alcoholics and Implications for Interpersonal Dynamics.....	8
Attitudes of Alcohol Treatment Personnel.....	15
Patient-Therapist Relations: Implications for Treatment of Individuals with Hostile and Dependent Interpersonal Styles.....	26
The Problem of Continuation in Treatment and Dropouts in Treatment of Alcohol Abusers.....	42
Assessing Treatment Outcomes.....	48
Final Considerations.....	54
Conclusions and General Hypotheses.....	58
CHAPTER III: METHOD.....	62
Subjects.....	62
Instruments.....	68
Behavioral Analysis System.....	82
Procedures for Data Collection.....	83
Procedures for Scoring the Analyses.....	88
Experimental Hypotheses and Procedures for Data Collection.....	94
CHAPTER IV: RESULTS.....	97
Interpersonal Styles During Sessions.....	98
Compliance.....	99
Client Interpersonal Behavior During Sessions and Outcomes.....	99
Counselor Interpersonal Behavior During Sessions and Outcomes.....	99
Outcome Measures.....	99

	<u>Page</u>
<b>CHAPTER 4. OUTCOMES</b>	<b>94</b>
Client Interpersonal Behavior in Treatment	97
Counselor Interpersonal Behavior in Treatment	97
The Dynamic Interplay Between Clients and Counselors	99
Treatment Success	104
Post-Contingency Work	105
General Conclusions	106
<b>APPENDICES</b>	
A. INFORMED CONSENT FOR ALCOHOL COUNSELING	109
B. COVER SHEET FOR DATA COLLECTION	111
C. CONSENT FORM FOR CLIENTS	112
D. PRE-TREATMENT CLIENT READINESS RATING SCALE	114
E. INSTRUCTIONS FOR POST-TREATMENT QUESTIONNAIRE	115
F. POST-TREATMENT CLIENT READINESS RATING SCALE	116
<b>REBIBLIOGRAPHY</b>	<b>118</b>
<b>ETHICAL STATEMENT</b>	<b>120</b>

Abstract of Dissertation Presented to the Graduate School  
of the University of Florida in Partial Fulfillment of the  
Requirements for the Degree of Doctor of Philosophy

THE INTERPERSONAL DYNAMICS OF TREATMENT  
OF ALCOHOL ABUSE

by

James Craig Asaol

August, 1983

Chairman: Harry Grotzer, Ph.D.  
Major Department: Psychology

This research examines the interpersonal dynamics of the interaction between treatment personnel and alcohol abusers in individual treatment. The dynamic development of the relationships between alcohol clients and therapists is analyzed in terms of the effect on treatment outcome.

Literature is reviewed from five content areas: (1) personality characteristics of alcoholics, (2) attitudes of treatment personnel, (3) patient-therapist matching and consequent implications for psychotherapeutic interventions, especially in regard to (1), (4) psychological treatment of alcoholism, and (5) assessment of outcome in alcoholism treatment.

The interpersonal dynamics of therapist-client dyads engaging in individual treatment of alcohol abuse at the Veterans Veterans Comprehensive Mental Health Complex and the Sunset Mental Health Center in Brooklyn were assessed via ratings of videotaped excerpts of therapy

measures on the Inventory Interpersonal Deek List. Interpersonal complementarity was assessed according to the Behavioral Analysis System.

Treatment outcomes were addressed from client changes in patterns and extent of alcohol consumption and from client changes in general adjustment. Client changes in alcohol consumption were assessed via client self-report of drinking behavior on pre- and post-treatment scores on the Harvard Alcohol Test and via ratings by therapists on the Rogers and Spence-Ginsburg Evaluation Form. Client changes in general adjustment were assessed via client self-report of adjustment on pre- and post-treatment scores on the Current Adjustment Rating Scale and via ratings by therapists on the Rogers and Spence scale.

General findings are as follows: (1) alcohol abusers and counselors tend to behave in rigid and complementary ways in initial treatment interactions, (2) higher rates of interpersonal complementarity in initial sessions were associated with an increase in length of treatment, (3) counselors did not tend to alter their initially rigid interpersonal presentation as treatment progressed, (4) client interpersonal flexibility showed only a weak relationship to improvement in general adjustment, (5) counselor interpersonal behavior during sessions was not associated with a significant effect on treatment outcome, (6) higher rates of interpersonal complementarity were associated with clients' improvement in drinking behavior, (7) clients' improvement in drinking behavior was associated with clients' improvement in general adjustment, and (8) the length of treatment was associated with all measures of treatment outcome.

## CHAPTER 1

### INTRODUCTION AND STATEMENT OF THE PROBLEM

The purpose of this research is to examine the interpersonal dynamics of the treatment of alcohol abuse... The effect of the relationship between the alcohol abuser and the alcohol counselor in behavioral psychotherapy as treatment outcome is the fundamental issue to be addressed in this thesis. In particular, the complex reciprocal nature of the treatment relationship is defined and analyzed.

It is reported in notes at the outset that the effectiveness of alcoholism treatment in general remains an issue... In a review of 266 evaluation studies of psychologically oriented alcoholism treatment, Smith (1975) reported a two-drink improvement rate; Amor, Fritsch, and Stoeckel (1970), in another review of evaluation studies, reported that rates of improvement success range from 20 to 75 percent. Facing methodological problems into account, and considering rates of improvement without treatment, empirical support for the efficacy of alcoholism treatment in general is not conclusive. According to Amor et al., empirical support for the effectiveness of traditional individual psychotherapy with alcoholics is even weaker than the support for the effectiveness of alcohol treatment in general.

Many authors have addressed the interpersonal interaction between alcohol abusers in treatment and counselors as a fundamental issue in understanding alcohol treatment... In their book evaluating the state

of the art of alcohol treatment, Patterson, Sobell, and Sobell (1987) argue that the effects of any treatment are largely determined by interpersonal relationships. One recommendation offered by these authors is that certain kinds of individuals should be strategically assigned to particular therapeutic roles. Further, the "life history" issues associated with alcohol abuse can be conceptualized as interpersonal in nature. Intervention into the "family and personal disorganization . . . may allow the alcoholic to re-equilibrate with different defenses and relationships which eliminate the personal and social forces that perpetuated the addictive pattern of drinking" (p. 198).

In similar fashion, Patterson (1983), and Sore and Cutler (1984) contend that the "quality" of the therapist/client relationship is a significant factor in treatment outcome, particularly in light of keeping alcoholics in treatment.

It's evident that patients' continuation in treatment is essential to positive outcome and that length of treatment is a viable indicator of treatment success in the intervention. Patient "dropout" has long been regarded as a key problem in alcohol rehabilitation. Further, "intensive/sustained treatment context in which clients do not receive regular and continuing therapy has been recognized as a pattern in alcoholics (Green et al., 1983).

The emphasis on the interpersonal dynamics of treatment becomes increasingly critical when considered in light of the broad range of problems of the alcohol abuser. Patterson, Sobell, and Sobell suggest that treatment goals should address all areas of alcohol-related life



and health problems. These authors contend that the focus on the substance consumed as general and drinking in particular has resulted in a distortion of science education and has prevented the field of alcoholism treatment from achieving a finer understanding of successful treatment.

This thesis conceptualizes alcohol abuse as an interpersonal problem. This formulation is not intended to imply that this is the only way to understand alcohol abuse, however, when considering the range of psychological problems associated with abusive drinking and the interpersonal behaviors and situations which reinforce and perpetuate alcoholic behavior, it becomes readily apparent that an explanation for the interpersonal nature that characterizes alcohol abuse is imperative to understanding treatment. In this thesis treatment is defined as a strategic shift in the predominant interpersonal style of the alcohol abuser which contributes to all of the life problems associated with abusive drinking.

## CHAPTER II

### REVIEW OF THE LITERATURE

In this section of this thesis, literature from epidemiology to general and from alcoholism treatment to particular is considered and conclusions and hypotheses are generated concerning the dynamic interaction between alcohol treatment personnel and alcohol abusers in treatment and the effects of this interaction on treatment outcome. Issues surrounding assessment of treatment systems are also discussed.

#### Personality Characteristics of Alcoholics and The Outlook for Interpersonal Growth

Personality variables have been considered as having significant input into the behavioral syndrome associated with alcohol abuse. As recognized by Stroom and Pittman (1964), Patterson et al. (1977), and Jones et al. (1980), the personality characteristics of alcoholics have frequently been recognized as a serious impediment to treatment success in an alcoholic sense (i.e., that these people are simply difficult to treat). Nevertheless, this conclusion has not been adequately demonstrated. More primary to this discussion, however, is the issue involving the existence of personality characteristics that alcohol abusers have in common.

The resolution of this issue is critical enough to merit the aid of theory and research dedicated to the existence or non-existence of the

'schizoid personality.'<sup>4</sup> The fields of treatment and prevention in alcohol abuse could emphasize particular kinds of interventions designed for distinct personality characteristics and directed toward a more limited spectrum of personal and interpersonal issues.

The issue surrounding the existence of the 'schizoid personality' may have been regarded as resolved twenty-five years ago in two independent reviews of the available literature attempting to differentiate the personality traits of alcoholics from those of non-alcoholics. concluded that there was no empirical justification for postulating an alcoholic personality. Both Sutherland, Schreuder, and Tardiff (1955) and Spitz (1957) concluded that they had found no satisfactory evidence to support the contention that persons of one personality type are more likely to become alcoholics than persons of other personality types. Spitz's final comment is that, based on 'all available relevant literature published from 1930 to 1955' (p. 361), the empirical justification for postulating the 'schizoid personality' is insufficient because of methodological inadequacies in the case of projective tests and because of theoretical weakness or lack of 'bearing/basis' in the case of descriptive tests.

Since these reviews, however, a fairly clear picture of personality characteristics that alcohol abusers have in common has emerged. Support for the notion that particular personality configurations are associated with alcohol abuse has come from three major areas: (1) empirical research comparing personality personality assessments with assessments made after onset of addiction, consistent patterns found in RFP profiles for all groups of alcoholics, and literature on socio-psychological and sociological aspects of alcoholism and treatment of alcohol

alone. This research supports the contention that alcoholics tend to present a facade of strength under which a conflict over dependency is hidden. Dependency needs are not addressed directly leading to hostility and resentment, particularly toward individuals in authority. Consequently, alcoholics tend to be overly antisocial and rebellious, leading to difficulty establishing intimate relationships.

Since 1968, four longitudinal studies examining personality characteristics before and after onset of problem drinking have emerged. Jones (1982) used a longitudinal sample from the Galton British Study to examine the personality characteristics of individuals who later became problem drinkers versus individuals who did not become problem drinkers and concluded that behavior associated with problem drinking is to some extent an expression of personality tendencies established long before problem drinking begins. The California Q set was administered and compared for three age periods: junior high school, senior high school, and adulthood. Throughout all three assessments problem drinkers were distinguished by a set of traits suggesting underlying dependence with over-compensatory strings for "masculinity" causing them to be rebellious, impulsive, and uncontrolled. Moderate and abstinent drinkers were less hostile and more capable of dependency in an intimate relationship, particularly early in life.

In a twenty-year follow-up of adults who had been seen as patients in a child guidance clinic in St. Louis, Lohrke (1984) and Lohrke, Lohrke, and B'Wall (1982) compared agency records on a standard questionnaire with measures on the same questionnaire on the same sample of

individuals to adulthood. The authors reported a powerful antecedent factor evident in the childhood histories of alcoholic patients related to problem drinking later in life was the occurrence of a variety of symptoms of antisocial behavior.

McLard and McLard (1940, 1952) examined data gathered from alcoholic fathers of subjects in a lower-class population in Britain to determine differences between boys who later grew up to be alcoholics versus boys who grew up to be "non-alcoholics."<sup>2</sup> Compared with non-deviants, preadolescents were more self-confident, undisturbed by social fears, indifferent or disapproving of family members, more actively aggressive, and emphasized their independence. The interpretation offered by the McLards is that the preadolescent presents a facade of "masculinity" which is motivated by a denial of dependency needs and that drinking becomes a means by which the adolescent can actively demonstrate strength and still maintain a masculine self-image. These authors found a similar personality pattern in adult alcoholics with more overt expressions of dependency in their condition deteriorated.

Two reviews of the longitudinal research cited above have concluded that a clear and consistent picture of the predominant personality characteristics of alcohol abusers and preadolescence is supported. Sanford (1958), in considering the findings from all these studies, concludes that "It seems reasonably clear that at least in some male problem drinkers an underlying dependence with overcompensatory strivings for 'masculine' is an important predisposing factor" (p. 11). In a comparison of Jones' and the McLards' research, Lussels-Ginsberg (1961) is less reserved in her conclusion:

There are not only differences in methodology and data-gathering instruments in the two studies, but there is also an upward-and difference in the class status of the research subjects. What the two longitudinal studies have done is to permit some of the behaviors which distinguish the prealcoholic person from others of similar background. They have begun to define psychological predispositions with some specificity. (pp. 18-19)

A fourth longitudinal study of personality traits of alcohol drinkers is part of an extensive body of RPI data supporting the validity of the checklist stated above. Gendreau, Hoffman, and Loper (1973) compared college-entrance RPI profiles with the same men entering treatment for alcohol abuse and found that both sets of profiles showed elevated scores on the following scales: 1d (Psychopathic deviate), 2 (Depression), 3c (Hypomania), and 5c (Schizophrenia). The authors concluded that these elevations may be indicators of dependency problems and further suggested that "this combination of elevated scales may represent a neurotic pattern of a self-centered, immature, dependent, resentful, irresponsible kind of person who has been unable to face reality" (p. 108). Gendreau et al. also referred to the internal distress suffered by alcoholics who attempt to maintain a facade of strength in spite of such distress.

This 'classic 14-6' RPI profile is alcoholics faced by Gendreau et al. has consistently been found in other research evaluations of RPI scores of alcohol abusers (Joss and Bergin, 1969; Lerner, 1968; Raskin and Hartson, 1963; Hill, Hartson, and Davis, 1962; Oakstrom and Walsh, 1968; Rosen, 1960; Hoyt and Sullivan, 1961). This body of research is based on a broad range of populations of alcohol drinkers including both low types to hospitalized inpatients to outpatients and including young, old, single, married, male, and female

subjects. Briefly stated, the personality correlates that have been associated with problem drinking within the WHO research are dependency conflicts, antisocial behavior, noncoercive intrapersonal, passive-aggressive styles, depression, neuroticism, internal hostile feelings, problems with authority, and rebelliousness.

This picture of the personality correlates of problem drinking is also supported by a variety of contemporary psychological and sociological analyses of alcohol abuse. These analyses are consonant with the view taken by Fettes et al., 1983:

Alcoholism may be best described as a psychosocial behavior syndrome which is dependent on a variety of social and cultural variables. Changes in patterns of drinking behavior may result not so much from changes in personality variables as from changes in social and cultural variables. (p. 140)

In a sociological analysis comparing rates of drinking and drunkenness with indicators of indulgence of dependency in infancy and childhood and with the existence of dependent behavior in adulthood in 110 societies, Brown (1974) found results that supported "the dependency-conflict hypothesis that frequency of drunkenness is related to customs that limit the indulgence of dependence in infancy, emphasize demands for achievement in childhood and limit dependent behavior in adulthood" (p. 383). Brown offered the explanation that when the customs of a society created these conditions, a psychological state of conflict associated with help-seeking behavior would be expected to develop. Since the satisfaction of dependence was prohibited, drinking is one available method by which an individual could resolve this conflict by simultaneously satisfying his/her dependency needs and avoiding the anxiety associated with overt expression of dependency in a taboo state.

The dependency conflict in alcoholics has been a theme in the literature in contrast of alcohol abuse. Aron et al. [1978] have concluded that overtly dependent alcoholics are more amenable to treatment than overdependent types, particularly in light of likelihood of continuing in treatment. One implication of this conclusion is that the more confounded an alcohol abuser is about dependency, the less likely it is that he/she will be able to establish a stable and meaningful contact with treatment personnel.

Robinson et al. [1977] have offered a psychoanalytic formulation of the dependency issues in alcoholics. Although their conclusions are highly speculative, they are interesting to consider. These authors suggest that problem drinking may represent the oral phase of psychosexual development and that a differential diagnosis should be made between the alcohol abuser who has regressed to an oral level of development from a previously more mature level and an overtly fixated alcoholic. The prognosis for a return to normal drinking and successful psychotherapy is higher in the regressed patient while the fixated patient is expected to continue alcoholic drinking.

John Forrest (1980), in his work on diagnosis and treatment of alcoholism at the Institute of Addictive Behavioral Change, and Brown and Tolan [1971], in their work describing techniques and problems encountered in group treatment of alcoholism, address the difficulty that alcoholics have expressing their dependency needs. These authors agree with Brown in suggesting that drinking is a covert way of satisfying dependency needs without recognizing them. These clinical descriptions recognize two styles of expression: the dependency conflict



arent dependency and parent counterdependency. Both roles are associated with guilt and hostility, and both lead to problems with authority and with intimacy.

In similar fashion to Ferrent (1980), to Arner et al. (1981), and to Erwin and Wiles (1977), Haglund and Lundvall (1979) discuss dependency and counterdependency in alcoholism and how counterdependency can lead to dropping out of treatment. They contend that addressing the dependency conflict is the key issue in keeping an alcoholic in treatment, and, consequently, the key factor in treatment success. In a sense, these authors are implying that a critical component in the therapeutic relationship is the therapist's ability to satisfy the dependency needs of the problem drinker.

A number of studies have addressed the interpersonal dynamics of alcohol abuse more directly. Rumbitz and Lohr (1988) defined alcoholism as a "sociopsychological problem manifested largely by, as well as reflecting, difficulties in interpersonal relations" (p. 84). Interpersonal behavior in non-help-seeking alcoholic employees (i.e., employees who come to treatment in order to keep their jobs and would not have attended treatment otherwise) was assessed via the Locus Interpersonal Relationship Personality Role (Lary, 1987). The authors offer conclusions that are highly consistent with the other authors reviewed in this thesis:

The interpersonal and intrapersonal profiles reveal considerable conflict and suggest the presence of a high degree of resulting psychological stress. They present the same cultural facade of stable dependency being found by several prior investigations among different categories of alcoholics. Research thus focuses, however, strongly on dependency alcohol, or hostility, or a combination of both present among a majority of the sample. The apparently to the cultural demand for adult male independence and autonomy, however, these dependency stirrings are generally

both consciously rejected and denied overt public expression. The underlying hostility, moreover, is represented in over half the cases.

While the defensive façade manifests itself largely in overt behavior and conscious self-discipline, it is basically not a conscious deliberate coping mechanism. It appears, rather, to be an unconscious attempt to cover up and compensate for underlying feelings of dependency or hostility. What these are consciously important is probably a pervasive feeling of high tension due to other unresolved conflicts. (p. 30)

For all the alcoholics--the overtly dependent, the counter-dependent and the latently hostile men--drinking apparently serves several crucial compensatory and personal psychological functions. It resolves their severe dependency by allowing them to maintain a conscious public interpersonal and self-concept of masculine identity based on their ability to "drink like a man" while at the same time satisfying, in their drunken states, strong dependency needs. Furthermore, it provides an outlet in their drunken rage for repressed hostile impulses. (Korwitz and Latta, 1966, p. 71)

Given the particular personality traits that alcohol abusers tend to have, it is possible to speculate as to the kinds of interpersonal relationships in which alcohol abusers would tend to become involved. Leitch et al. (1973) have discussed this notion in light of two traditional models of psycho-social interaction. First, these authors use transactional analysis (Berne, 1966) to present the "multiperson network that characterizes alcoholism" (p. 18). A number of people in the interpersonal network of the alcoholic "person" are viewed as perpetuating alcoholic behavior, and, more germane to the thesis presented in this paper, psychological care of the alcoholic requires that he/she

also playing the game actively. Second, Sullivan et al. use an analysis of the social context of the interaction between the alcoholic and "significant others" (including members of the alcoholic's nuclear family) to support the contention that "patterns of drinking are less a reflection of individual pathology than of psychosocial context" (p. 181) and that the change from abusive drinking to normal drinking requires a shift to "psychosocial equilibrium."

Leary (1987), Larson (1986), Benjamin (1984), and Coleman and Benjamin (1989) provide theoretical models based on Sullivan's Interpersonal Psychology (1953) that examine interrelational patterns in human relationships. These models emphasize the principle of interpersonal reciprocity which maintains that interpersonal behavior should be assessed in light of the particular behavior of the individual in question, that individual's perceptions and expectations of others, and the responses that that individual elicits from others. Thus, the most specific unit of analysis is the self-other interaction, and, more specifically, the reflex way in which human beings interact with each other. According to these theories and as such as alcohol abusers tend to predict predominant types of behaviors, problem drinkers tend to gain certain reactions from others, and thus, tend to have particular kinds of interaction with others.

Leary labels the individual with personality correlates like those that alcohol abusers tend to have the "distasteful personality." Although these individuals may consciously strive for intimacy, they consistently avoid such relationships. They tend to demonstrate distrust and rejection as solutions to personal distress and are usually frustrated, depressed, resentful, and dissatisfied. They tend to be

unable to maintain durable relationships based on conformity and collaboration. The kinds of reactions they tend to provide from others are characterized by rejection and punishment. Within the analysis provided by Leary, the personalities of these individuals are hostile and aggressive, and they tend to elicit hostility and defiance in others. The rebellious and destructively passive tends to create relationships in which hostility is treated with punitive rejection.

The implications of these models of interpersonal complementarity are supported by research examining the personality characteristics of wives of alcoholics and the kinds of interpersonal behaviors that spouses of alcohol abusers tend to exhibit. Kivits and Japs (1977) used the Leary Interpersonal Attraction Personality Model to study the interpersonal behaviors of the wives of the alcoholics used in the research by Kivits and Japs cited earlier in this paper. The results were consistent with the hypotheses generated by the theories of interpersonal reciprocity offered by Leary (1967), Janoff-Bulman (1968), and Benjamin (1964). They found that wives of alcoholics tended to demonstrate dominance in their interpersonal behavior. Further, these wives tended to yearn for a dependent interpersonal role in their marriage but anxiously reject these feelings as they are unable to express them overtly. These findings suggest that the dependency conflict within alcohol abusers also tends to appear in relationships involving alcohol abusers.

Other research on marriages with an alcoholic partner supports the analysis presented above. Levert (1962) defined dominance and dependency conceptually and found that wife dominance in a sample of alcoholism families was twice as high as wife dominance in a sample of separation families and three times as high as wife dominance in a

control sample. In describing the wife-alcoholic interaction, Lewis, Hanson, and Shelton (1967) characterized the wife as the positive and controlling mother and the alcoholic as the rebellious child. Lee and Greeny (1981) administered the Interpersonal Perception Technique and the RPI to alcoholics and their wives and to a control sample. They found more confusion of socio-sexual roles and dependence-independence needs in the alcoholics' marriages. They also found that control wives scored lower on dominance and submissy and higher on deference, effection, nurturance, dominance, dominance, and nurturance than wives of alcoholics. In addition to this evidence, both Selley (1976) and Bullock and Reid (1981) conclude that alcoholic marriages tend to be characterized by a high degree of conflict between marriage partners.

This analysis of the kinds of interpersonal relationships that alcohol abusers tend to have in general has powerful implications for the kinds of relationships that problem drinkers would tend to have with treatment personnel. As with their interactions with their spouses, alcoholics may tend to elicit punitive rejection from therapists and counselors. According to the theories of interpersonal reciprocity, alcohol treatment personnel would experience similar kinds of conflict and resentment and behave in a covertly hostile and overtly distant fashion toward alcohol abusers in treatment. This action is examined below.

### Attitudes of Alcohol Treatment Personnel

The attitudes held by treatment personnel toward alcohol abusers have been a matter of great concern in the field of alcoholism treatment. Most evaluations have characterized these attitudes as perceiving

and convicted. The distributional effects of these attitudes on diagnosis and referral (Kane, 1970; Carter, 1977) and on treatment (Spreen and Price, 1962; Macdonald and Potal, 1983; Chappel and Schmidt, 1987; Birchall, 1987) have been presented and discussed. In this section of the thesis, the literature on the attitudes of treatment personnel is reviewed and a general interpretation is offered.

One clear and consistent finding that is well documented in evaluations of alcohol counselors' attitudes is that the attitudes held by treatment personnel toward alcoholics and alcoholic treatment are negative (Spreen and Carter, 1964; Kane, 1970; Fisher, Masley, Brown, and Fisher, 1979; Kane, 1979; Macdonald and Potal, 1983; Barlow and Gaby, 1985; Macdonald, Miller, Macdonald, Harrison, Leiseman, and Salomon, 1984). More specifically, Kane (1966, 1974, 1983) and Kane and Pittman (1965) reported a general perception regarding alcoholics' ability to respond to treatment.

An equally consistent finding that is perhaps more critical to this discussion is the inconsistency in attitudes held by treatment personnel. Spreen and Pittman's (1965) respondents rated the alcoholic's role performance in treatment negatively on the same behaviors that they specified as criteria for determining eligibility for treatment. These authors labeled this inclination a "half-reinforcing tendency" and inferred that the respondents were not considering the possibility of reducing the alcoholic's motivation for rehabilitation. Some of Kane and Pittman's respondents explicitly indicated that it was not their position to do so. Masley (1980) found that comparing and mental health professionals' held consistent views of "normal" individuals but did not agree in their perceptions

of the "alcoholics." In examining the attitudes of psychiatric residents, Foreman and Gortler (1971) found a conflicted and contradictory view of alcoholism. On one hand, the residents held a positive and essentially therapeutic stance. On the other, a significant counter-attitude that alcoholism was a fault that resided within the individual was evident. Berger-Gruis and Lissas (1973) reported that paraprofessionals working in a residential alcoholism treatment facility were more lenient and "humanistic" as well as more "confrontative" in their attitudes toward alcoholics than paraprofessionals working in a detoxification center.

Ross (1971) concluded that "psychologists and psychiatrists have many opinions in common and share a marked inconsistency in their attitudes toward alcoholics" (p. 1477) in research examining the attitudes of psychologists and psychiatrists. Ross's findings were that, although treatment professionals regard the court system as the appropriate institution for referral and treatment and favor hospital treatment over referral to the court system, they see the benefit to be gained by hospital care as minimal and the general prognosis for alcoholics as poor. Some of the respondents recognized the inconsistency in their attitudes. Further, Ross's respondents were generally unwilling to devote their personal time and energy to treating alcoholics.

Starna and Pittman have redefined the tendency for treatment personnel to emphasize the importance of motivation for treatment success (Starna, 1971; Starna and Pittman, 1985) as a product and correlate of the inconsistency in attitudes toward alcoholics. These authors suggest that the emphasis on motivation is part of a professional

defense system which is based on the creation of a comfortable personal distance between treatment personnel and the problem drinker. The respondents in the research by Storms and Pripps described alcoholism as making "unreasonable demands for attention" and as "interfering with others."<sup>4</sup> The following issue is raised by the authors:

it might be asked whether the recurrent posing of the notwithstanding problem is not a convenient rationale for an unwillingness to assist the alcoholic patient or client more effectively, especially since admission under a primary diagnosis of alcoholism is not permitted by 40% of thirty-five surveyed hospitals, medical treatment is usually limited to brief detoxification with inpatient follow-up or referral, and only two-fifths of the fifty-nine surveyed agencies actually work with alcoholics and men with the frequent goal of referral elsewhere for the alcoholic. (p. 34)

Sammons authors have recognized the distancing of the alcoholic by treatment personnel. In addition to finding that few psychologists and psychiatrists are willing to devote a significant portion of their time to treatment of alcoholics, Ross (1978) referred to the 'referral game' in which the alcohol abuser "is used from one professional to another with little therapeutic interaction along the way" (p. 101). Ross (1971) found that psychiatrists and social workers tended to support referral of alcoholics to hospitals and psychologists tended to support referral to Alcoholics Anonymous. Further, Ross (1974) found that psychologists were willing to devote more time to treatment of drug abuse than to treatment of alcoholism and that the respondents perceived cultural problems as the primary cause of drug abuse whereas interpersonal conflicts were seen as the primary cause of alcoholism. Schulsberg (1964) found that 33% of the surveyed psychiatrists in private practice would not accept alcoholics for treatment. Welfog (1970) found that 40% of the surveyed treatment personnel believed



that treatment should be provided in specialized alcoholic clinics--not in agencies like their own. In addition, Duffley reviewed the perceived demands made by alcoholics in treatment and the perception of treatment personnel that the alcoholic is more difficult to relate to than an individual whose illness is not self-inflicted in light of this conception of a strained and uncomfortable distance between the alcoholic abuser and the treatment professional.

The negative and conflicted attitudes held by treatment personnel toward alcohol abuse and alcoholism treatment have been repeatedly discussed in light of the potential deleterious effects on treatment. Fogar, Pale, Bradsher, Bradsher, and Wilson (1988) have suggested that, "in addition to expressed attitudes, associations maintained by staff members to acting-out forms of disturbances may actually encourage the deviant behavior or force its suppression. In either case, the result is antitherapeutic" (p. 485). Both Fogar et al. and Bradsher (1988) have provided evidence for the negative effect of background attitudes between patients and therapists on communication and treatment outcomes.

An alternative interpretation of the relationship between the attitudes of treatment personnel and treatment effectiveness, however, has been proposed. Trice and Baleson (1988) found that training acted to lower supervisors' tolerance of alcoholic employees but increased their willingness to engage in realistic confrontation and referrals--that attitude change in a negative direction led to positive action. The authors offered this interpretation:

There is no doubt that many current training and educational efforts are unsuccessful because they miss the mark.

operating as the illness aspect of alcoholism, for example, may result in an increased acceptance and tolerance of the alcoholic by the supervisor. Lowering the tolerance by "signifying others" in the alcoholic's work would simultaneously raise the "bottom" for the alcoholic, preventing further relapses and treatment. (p. 383)

Gilly and Fold (1976) presented a similar conclusion based on the same findings:

Their results suggest that less tolerance and acceptance of alcoholism may enhance intervention. Perhaps the well-documented findings that experience in working with alcoholics and participating in training programs lead to more positive attitudes may have been misinterpreted as to the effect of this change in treatment and rehabilitation. (p. 330)

The negative effect of the societal trend to regard alcoholics as less personally responsible for their illness was recognized by Brown (1976) who suggested that the reformist model may look the alcoholic into a "non-responsible, but sympathetic deviant type." The half-acceptance of the sick role of the alcohol abuser may indeed be less effective as a treatment procedure than a less tolerant but clearer position.

The effects of experience in treatment and training on attitudes held by treatment personnel as reflected in by trials and releases have been investigated by a number of authors. Both Starnes and Pittman (1945) and Roper et al. (1965) found that increased experience in treatment was associated with a more optimistic, disease-oriented view of alcoholism with fewer moralistic judgments being made. Hanna (1970) and Chaffetz (1981) also concluded that contact with alcoholic patients led treatment personnel to treat alcohol abusers with more regard.

Other researchers, however, did not find this positive effect of experience on attitudes. Mackay (1978) reported that age, years in line of work, and formal education showed no particular effect

on attitudes. Ross and Cutler (1964) found that staff who were involved in direct service contact with alcohol abusers held less favorable attitudes than staff who were not involved in direct service contact. Negative effects of experience were also reported by Ross (1971), who found that trainees were more willing to work with alcoholics than staff were, and by Fennell and Gentler (1971), who concluded that the first year of psychiatric residency increased the conflict in regard to alcoholism.

The findings regarding the effect of formal training on attitudes held by treatment personnel are conflicting in a similar fashion to the findings regarding the effect of experience. A number of investigations (e.g., Fisher, Fisher, and Paxon, 1976; Chappel, Jordan, Treaseley, and Miller, 1977; Glason, Littlell, and Martin, 1980) have reported positive changes in attitudes following training. However, a number of formal evaluations of training programs (Cook, Melner, and Gruber, 1976; Worley, 1976; Gily and Field, 1976; Rosenburg, Gerslein, Rescher, and Loftis, 1978) found no changes in attitudes following training. Ross (1971) and Levitt, Lopez, and Kachly (1983) concluded that, in general, formal training results in a change in informational knowledge in the trainee but shows no effect in altering attitudes in a desired direction.

Other studies have provided evidence that training results in an increase in negative and prejudiced attitudes (Fennell and Gentler,

(eff), stress and fatigue, 1988). Bailey (1979) concluded that training leads to greater discomfort in the trainees because of the emotional impact of the training content.

For the first time, cultural and professional stereotypes about alcoholism were confronted and threatened, and the course participants were asked to learn, to think, and even to feel in new ways. It is probable that most of them had never had such a concentrated exposure to education material, nor had their deeply ingrained stereotypes been held up to critical self-examination. (p. 532)

Differences in populations, content of training programs, and mode of assessment of attitudes make the findings concerning the effects of training and experience on attitudes difficult to consolidate. One implication of these findings is that training and experience may make treatment personnel more accepting and tolerant of alcoholics and alcoholism in terms of their sophistication and knowledge; however, increased contact with alcohol abusers may enhance "negative" emotional reactions in treatment personnel.

This research has implications for training of treatment personnel as recognized by Allen (1986), Bailey (1979), Ward and Fellows (1979), Swartz (1981), and Rodolakis et al. (1983). These authors have suggested that the attitudes of trainees should be addressed from two directions. The first is an educational approach to counteract judgmental attitudes arising from cultural stereotypes. The second, and more central direction, is preventative and experiential in nature and designed to prepare trainees for the emotional reaction to working with problem drinkers. Further, these authors have suggested that developing supportive training may assist in mitigating professional avoidance and withdrawal blocks.

In addition, these findings have implications for the development of new and innovative treatment strategies as recognized by Kase (1971). Burns and Prigson (1980) also allude to the development of new approaches

Again, reluctance for sobriety is often implicitly equated with conformity to the specifications of treatment set by the therapist, such as willingness to keep regular appointments, to undergo a specific form of treatment, for instance psychotherapy, or to use alcoholism as a symptom of an underlying psychiatric condition rather than as a underlying problem to be addressed directly. Yet research has not shown that any of these are prerequisite to sobriety. (p. 64)

Burns and Peilfance (1980) utilized general systems theory (See Bertalanffy, 1944) as a theoretical model to integrate the research findings on the attitudes held by treatment personnel toward alcohol abusers. This conceptual framework maintains that the behavior of a particular element in a system is highly dependent on the complex processes within the total system. In their analysis of the treatment situation, Burns and Peilfance focused on the continuous feedback between components of the system. They proposed that the relationships between alcoholics and treatment personnel can be "pathological complementary relationships" in which each participant's behavior reflects upon the other's behavior.

In another fashion, Kaminer (1981) has examined the negative effects of the label "alcoholic" on both the patient and the therapist. Burns and Prigson (1980) and Kelley (1980) have also noted the interactive nature of the treatment situation. Burns and Prigson suggested that:

the responsibility for discontinuance in treatment need not necessarily lie with the alcoholic. The therapeutic situation is an interactive one, and research has shown that therapists play a large part in determining the nature of the therapeutic relationship. (p. 64)

The systems approach to the research on attitudes held by treatment personnel proposes that the most profitable analysis of the treatment situation should focus neither on the alcohol abuser exclusively nor on the treatment staff exclusively, but on the complex interaction between the two.

This systems analysis can be extended beyond the treatment situation specifically to the treatment situation within a societal framework. Alcohol abusers and treatment personnel are elements in the same social matrix and, theoretically, are subject to the same social forces. Gilly (1975) found that treatment professionals tend to reflect the opinions and biases of the community within which they work. According to a systems formulation, it is not surprising that the attitudes of treatment personnel toward alcohol abuse are as conflicted and ambivalent as abusers' attitudes and as society's attitudes in general.

McKendree and Legerton (1981), commenting on society's tolerance of intoxicated behavior, concluded that, "In our society, this individually, get the sorts of drunken eloquentment they allow, they deserve what they get." (p. 11). The sociocultural factors influencing alcoholics and leading to their "recidivism" to treatment may be the same factors influencing treatment personnel's "unwillingness" to invest in treatment. The ambivalence evident in abusers and treatment staff can also be seen as society's emphasis on treatment while maintaining the popular conception of the weak-willed and uncontrolled alcoholic. Gaffels (1981) concluded that

when the alcoholic fails to seek out treatment or fails to stay with it, the burden of failure should not be placed upon

ing is "identifying him a 'hopeless alcoholic.' Rather, the counseling community should recognize its own failure to develop methods of establishing therapeutic relationships with these sick people. (p. 399)

An implication of this analysis is that treatment strategies should consider the complementary relationship between the alcohol abuser and treatment personnel, and interventions should focus on each participant's role in the interactive system with special attention to expanding and modifying these roles.

In summary, a redefinition of the "negative" and "positive" attitudes held by treatment personnel toward alcohol abuse and alcoholism treatment is proposed. Many of the authors cited above have contended that such attitudes are detrimental to treatment success. The interpretation proposed here is that these attitudes are complementary reactions to the prominent personality characteristics of alcohol abusers in treatment. To suggest that the "negative" treatment disposition of professionals is the issue at hand and that modification of such attitudes is the goal of treatment is a narrow and limited conception. The punitive and directive disposition of treatment personnel may be more accurately understood as the natural and appropriate reciprocal reactions for professionals to assume in the initial phases of some treatment relationships with alcoholics. Indeed, this is not to suggest that hostility is detrimental to the remedy to alcoholism that is proposed here, rather, is that the research cited above portrays a realistic conception of the disposition of treatment personnel and that these personnel reactions to alcoholics in treatment are fundamentally complementary.

In this thesis, the goal of treatment of alcohol abuse is to develop the initially negatively defined treatment interaction toward a

ness, flexibility and permissive equality... In order to develop this conception of the dynamic interaction of the therapist and the alcoholic in treatment, theory and research on patient-therapist relationships is examined below.

### Patient-Therapist Relationship: Implications for Treatment of Individuals with Mental and Emotional Disturbances

Various theories have been proposed based on the notion that particular kinds of interpersonal dispositions are more effective therapeutic "matches" for certain complementary interpersonal dispositions in clients (Gilliver, 1965; Leary, 1967; Garson, 1968; Shwa, 1969; Garfield and Berenson, 1967; Elliot, 1967; Berkeley, 1964). In reviews of literature on patient-therapist relating, Garfield (1967), Luborsky, Gendler, Auerbach, Cohen, and Isakovich (1967), and Berkeley, Wilson, and Wolfe (1968) have discussed research involving the following classes of existing variables--personal constructs, cognitive style, values, preferences, expectations, background characteristics, personality characteristics, and interpersonal style. The focus of the theories and research in this area is the systematic approach to isolating and understanding certain combinations of therapist and patient variables and their effect on treatment.

The definition of treatment in terms of the interpersonal dynamics of the relationship between therapist and client is becoming increasingly influential in applied psychology. One of the products of this movement is the search for "therapeutic" and "psychosocial" matches of patients and therapists and inquires into the dynamic development of the patient-therapist relationship. Strupp (1967) has concluded



that any analysis of the therapist's contribution to the process of psychotherapy is incomplete without including some conception of the relationship with the client. Analysis of therapist and/or client personalities isolated in isolation will provide incomplete and less meaningful information. Berloff et al. (1970) offered this conclusion:

As issues that are the interpersonal dimensions of therapy relationships are more carefully explored, it will become possible to define more clearly which kinds of persons help which kinds of clients most effectively. Such evidence will reduce the importance placed on choosing techniques and will increase the emphasis on therapist selection and interpersonal skill development. (p. 184)

On the basis of Merton's (1936) Structural Analysis of Social Behavior, Meltzoff and Bauman (1974) have pressed the issue further by proposing that psychology as a field should consolidate its knowledge in terms of interpersonal behavior. They argued for a psychosocial conception of psychiatric diagnostic schemata to replace traditional psychiatric nosologies. The value of this effort would lie in the clinical and scientific advantages of an interpersonal taxonomy, particularly in diagnosis and treatment planning. The authors concluded, on the basis of a review of available literature, that the DSM-III categories of dysfunction can be translated into particular categories of interpersonal behavior.

For the most part, the theories of interpersonal relating of patients and therapists have their roots in Sullivan's Interpersonal Psychiatry (Sullivan, 1954). He conceptualized "personality" as an interpersonal product of the psychosocial history of an individual and, consequently, defined psychotherapy in terms of the specific interplay between client and therapist. Sullivan recognized the "complementary" and "idiosyncratic" nature of the tensions created

by particular matches of interpersonal needs in basic relationships. His conception of emotional reciprocity and control is the theories of project-therapist working derived from Sullivan's theory. In any interpersonal situation, psychotherapy included, as each participant's needs are expressed, they are met by the other participant's needs, and these needs can be either appreciated or satisfied. In this sense, Sullivan identified the dyadic relationship as the fundamental unity of psychotherapy. In succession, Leary (1957), Caruso (1968), and Berquist (1974) operationalized and developed Sullivan's ideas (Larsson, 1977).

Leary and his colleagues at the Kaiser Foundation in Oakland developed a classification system known as the Interpersonal Circle (See Figure 1)--a circular arrangement of predominant interpersonal operations or 'reflexes' built around two orthogonal axes (Love-hate and dominance-submission). The circle was constructed by placing the two orthogonal dimensions perpendicular to each other creating four quadrants with each quadrant containing two extremes--Aggressive-Assertive and Aggressively-Hypersensitive in the Dominance-Love quadrant, Cooperative-Conventional and Docile-Dependent in the Love-Submission quadrant, Self-effacing-Phobic and Inhibitive-Withdrawn in the Hate-Submission quadrant, and Aggressive-Defiant and Competitive-Hostile in the Hate-Dominance quadrant. Further, each extreme is divided into two 'reflexes.' The circular arrangement is utilized so that the sector of the circle reveals what interpersonal operations are involved, and the distance from the center indicates the extreme

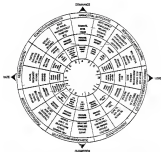


Figure 1. The Interpersonal Circle. From Berries, J. I. Therapist-patient matching. In Ash. Barnes and A. R. Davis (eds.), *Effective Psychotherapy: A handbook of Research*. Oxford: Pergamon Press, 1977, p. 229.

or intense they are. This circumsplex, based on extensive clinical data, can be used to 'diagnose' an individual's predominant interpersonal style.

In regard to patient-therapist relations, individuals are presumed to reciprocate each other's affective disposition directly and to complement each other's stance in *dominance-submission*. That is, each individual's reflex pulls its complementary reflex from other individuals in dyadic interactions (i.e., dominance provokes submission and vice versa, love elicits love, and hate elicits hate).

Carpus (1941) developed a simplified version of the Interpersonal Circle to code verbal behaviors into the four quadrants in the Lenny Circumsplex. This arrangement was used to define four "complementary" combinations or dyadic interactions (i.e., friendly dominance (D-F) and friendly submission (F-S) and vice versa and hostile dominance (H-D) and hostile submission (H-S) and vice versa). This analysis was the basis for Carpus's discussion of psychotherapy. He contended that patients tend to maintain rigid interpersonal styles and that the purpose of the diagnosis was to ascertain the patient's style and apply a therapist with a complementary interpersonal style. Rigid complementary therapists should be avoided because of the instability of the treatment relationship in such cases. The function of the therapist is to engage the patient in a complementary fashion initially but to avoid prolonged complementary reinforcement because it would result in a therapeutic relationship as rigid and inflexible as the patient's original interpersonal style. The therapist should be flexible in higher interpersonal operations so that he/she can strategically "move" the patient around the Interpersonal Circle via

therapeutic maneuvers. This movement, according to Carlson, is the therapy.

The next recent extension of Sullivan's ideas is Berkeley's structural analysis of social behavior (1988). Berkeley (1984) used the same orthogonal dimensions defined by Leary to construct a more explicit and detailed structure based on behavioral opposites, complements, and antitheses (See Figure 12). The SASB structure was constructed on the basis of mathematical logic and extensive empirical analyses. It includes three curved-shaped planes or surfaces. The top surface depicts interpersonal behaviors by their effect on the other person. The middle surface depicts behaviors initiated by the self. Specific behaviors appear at 120 degrees from each other on the same plane so that, for example, the opposite of 11B, "friendly flatter" is 12B, "accuse, blame." Complementary behaviors, behaviors that tend to pull each other in social interchange, are at corresponding positions on the surfaces so that, for example, the complement of 23B, "abuse, defend, justify" is 12B, "accuse, blame." Antitheses are complements of opposites so that, for example, the antithesis of 23B, "abuse, defend, justify" is 11B, "friendly flatter." The bottom surface portrays what the behaviors on the top two surfaces are turned inward.

Although Berkeley's analysis is more systematic and detailed, she concurred with Carlson in suggesting that complementary behaviors create stable relationships and that the purpose of therapy is to identify the patient's interpersonal style and supply of antithesis in a structured fashion.

The models of patient-therapist relationship proposed by Berkeley, Carlson, and Leary are supported by extensive empirical and clinical



data. Some of this support for their models is reviewed below (also see Barrios, 1977). Particular emphasis is placed on research involving dependency and hostility in client and "complementary" behavior in treatment personnel.

One area of empirical studies that buttresses these theories of interpersonal reciprocity in treatment relationships is research finding therapist behavior to be a function of client behavior. Miller, Sparta, and Elms (1963) trained actors to portray the patient roles in the four quadrants of the Interpersonal Circle (P-2, P-3, N-2, and N-3) in order to study interviewer behavior as a function of client input. Trained raters judged the interviewers' behavior on the Lurray Interpersonal Check List (1961), and the results were consistent with the models of interpersonal matching. Client dominance evoked passive interviewer behavior, and client dependence evoked interview activity and hyperresponsibility. Client friendliness evoked agreeable interviewer behavior, and client hostility evoked hostile interviewer behavior.

Loopy (1970) found that the affective quality of patients' nonverbal behavior influenced therapists' responses. Therapists tended to respond to a positive and agreeable fashion to a tape-recorded analog of a patient manifesting a friendly attitude and tended to respond in a more hostile fashion when presented with a hostile patient communication. Miller and Gillberg (1960) used the Lurray method to examine the reciprocal effects of therapist-client interview behavior. They found that hostile-competitive behavior in therapists was associated with hostile-competitive and passive-resistant behavior in clients and that friendly-dominant behavior in therapists was associated with

support-seeking behavior in clients and low client hostility. Ruffer and Dilling concluded that the relationship between therapist hostile-competitive behavior and client "hostile-behavior" may be indicative of "therapist-resistance."

Other researchers have found that certain patient characteristics elicit particular dispositional responses in therapists (also see Pope, 1977). In a study of sex differences in patients, Parker (1967) found that therapists who scored higher on dominance on the Gough Adjective Check List were more likely to exhibit directive verbalizations and less likely to exhibit nondirective verbalizations. Further, Parker found that therapist dominance was related to the sex of the client; therapist nondirective behavior was associated with female clients, and therapist directive behavior was associated with male clients. Lohr (1967) examined therapist directiveness in relation to the affective disposition of tape-recorded client presentations and found that therapists were increasingly directive to the "typical," "hostile," and "dependent" clients, respectively.

A second area of empirical research that supports the theories of patient-therapist matching is based on the finding that complementary pairs of therapists and clients are more likely to achieve a successful treatment outcome. Swanson (1967, 1971) scored MMPI profiles of pairs of therapists and patients in order to determine their respective placements on the Lundy Circumplex. He found that improvement proportions (improved versus unimproved) were higher when dyad members were complementary on the dominance-submission dimension and when dyad members were similar on the affective dimension.



In an extensive application of person-by-environment matching, Barrios (1977) reported a four-year program to develop appropriate pairings of therapists and patients in a university clinic specializing short-term treatment. Therapists' personality characteristics were assessed using the Personality Research Form which includes measures of impulse control, ambition, acceptance, dominance, caution, and aloofness. Patients were assessed on four interpersonal roles (influence of others, turning against self, dependency on others, and turning toward others and self). The overall finding was that complementary pairs were the most successful--i.e., positive therapy outcome was associated with submissive, inhibited, passive patients paired with dominant, expressive, structure-offering therapists and vice versa.

The third area of research supporting the notion of patient-therapist matching concerns the specific development of the interpersonal relationship in treatment. Crozier (1972) used Leary's (3) to assess the relationship of therapist "countertransference" to treatment outcome. He found that all therapists demonstrated countertransference (affective) reactions to clients. Successful therapists were more hostile-competitive and less passive-resistant in their countertransference reactions in the early stages of treatment than unsuccessful therapists. Further, successful therapists exhibited more friendly-dominant and less hostile-dominant behavior in the later stages of treatment. Crozier concluded that active and spontaneous countertransference early in treatment and resolution of such transference later in treatment characterized effective psychotherapy.

Bistacq and Arkes (1971) also reported results that are consistent with Gurtman's and Benjamin's (1974) conceptions of the development of the successful treatment relationship. In examining therapeutic relationships on the Lenny dimensions, these authors assessed treatment outcome via clinicians' ratings of pre- and post-treatment RPI data. Successful client-therapist pairs experienced a period of non-compliance in the middle phases of treatment. Bistacq and Arkes concluded that these therapists were breaking client change by violating clients' expected interpersonal behavior and promoting new behavioral norms.

Research examining the relative effectiveness of directive versus nondirective treatment approaches (Orinsky and Howard, 1954; Strupp and Bergin, 1959; Garfield and Bergin, 1971; Pope, 1977; Richeff, Sauerb, and Knapp, 1977) also contributed to the notion that clients benefit from an appropriate pairing with treatment dispositions. The focus of this area of research is the combination and identification of the conditions under which directive and/or nondirective treatment approaches are indicated.

In two studies out of the Pennsylvania State University, Ashby, Ford, Guemay, and Guemay (1977) and Baker (1980) examined the therapeutic effectiveness of "leading" versus "reflective" treatment styles when paired with certain client characteristics. Ashby et al. (1977) treated clinical psychologists to use leading and reflective therapy styles. They found that clients who were more defensive before therapy tended to become more defensive in the leading therapy and less defensive in the directive therapy. In addition, clients who entered treatment with a greater need to be defiant felt more defensive in the reflective therapy, and clients who entered treatment with a

greater need for autonomy tended to feel more defensive in the leading therapy. Baker found that the leading style was more effective than the reflective style in reducing somatization by clients and that there was a higher client drop-out rate in the reflective therapy, particularly among clients who were resistant to analyzing problems. He concluded that:

a more leading psychotherapy, which is more interpretative, might help the client to recognize those underlying feelings more quickly and lead to an alleviation of his somatization reactions... Reflective therapy appears to require an autonomous mode of behavior on the part of the client. The responsibility which is thrown upon the person in the initial stages of a reflective therapy may be so anxiety provoking that the more resistant client may leave the field... (p. 49)

In separate research publications, Carter (1968, 1971) studied the relationship between authoritarianism and clients' preference for structured versus unstructured treatment. In the 1971 research, Carter measured authoritarianism in patients in a mental hospital via the California F scale and found that high scores on authoritarianism were positively related to patient preference for structured psychotherapy. He had previously reported the same finding using the same procedures with hospitalized male alcoholics in his 1968 research.

A number of other studies examining the relative effectiveness of directive versus nondirective treatment approaches are consistent with the theories of interpersonal reciprocity. In a study of effectiveness of group therapy among college students, Horowitz, Abramowitz, Ruback, and Jackson (1974) found that clients' scores on Rotter's Internal-External Locus of Control Scale were predictive of the relative effectiveness of directive versus nondirective approaches. More internally oriented clients responded favorably to nondirective treatment, and more externally oriented clients responded favorably

to directive treatment. Along similar lines, Friedman and Ina (1984) and Elliott and Howell (1984) found that client externality or Rotter's I-E dimension was associated with preference for some structure and direction in treatment. Gordon (1987) and Rumm (1988) have also found directive treatment approaches to be differentially effective. Gordon found that "leading" therapy was more effective than "following" therapy in lifting hysterically isolated hostility. Rumm found that "active" therapist responses were associated with an increase in patient expression of feeling--both positive and negative--and particularly associated with an increase in patient expression of feeling toward the therapist.

The grounding assumptions of the theory and research on patient-therapist relating according to interpersonal style is that psychological treatment is based on the active and reciprocal interaction of the participants. The patient's needs and characteristics (e.g., dependency and hostility) interact with the therapist's needs and characteristics (e.g., dominance and hostility), and, if the match of patient and therapist interpersonal styles is complementary, the pairing is conducive to treatment success. Further, these conditions are especially critical in the early phases of therapy so that the treatment relationship is strong and stable. The task of the therapist throughout the remainder of treatment is conceptualized as evoking prolonged complementary reciprocities of the patient's interpersonal behaviors by manipulating and "leading" the patient's rigid interpersonal style into other interpersonal operations.

In discussing client dependency in psychotherapy, Snyder (1984) concurred with the above analysis. He recommended that the

psycho-therapist assume a [directive and structure] treatment orientation in the early phases of therapy with dependent and counterdependent patients in order to encourage dependency for the purpose of building a stable therapeutic relationship. He reasoned that the patient will be unable to change without the security of having his/her dependency needs met, however, the therapist may eventually encourage the patient to assume more responsibility for his/her decisions by relinquishing the directive orientation. Snyder also cautioned the psychotherapist to be aware of client hostility resulting from frustration due to unmet dependency needs. Although he recognized that the patient may not consciously "want" his/her dependency needs met, Snyder contended that it is the therapist's responsibility to actively engage both the dependency and the resulting hostility.

Other authors have contributed to the notion that successful treatment requires that the therapist actively engage the client's interpersonal disposition. In a review of literature on therapist countertransference, Hengg and Lingle (1980) concluded that, generally speaking, therapist conflicts in relation to hostility, dependency, warmth, intimacy, etc., have an inhibiting effect on the patient's performance in psychotherapy. Mueller and Gilling (1980) concluded that therapist's responsiveness is based on the ability of the therapist to exhibit reciprocal behavioral responses to client overtures, including competitive-hostile behavior.

Gooders, Lipton, and Miller (1980) examined the therapist responses to patients' admissions of hostility in light of therapist's anxiety about hostility. They found that therapists who scored lower in hostility anxiety were more likely to express hostility directly in

therapy and that therapists with a strong need for approval were more likely to avoid patient hostility than were therapists who were lower in need for approval. Further, therapist expression of hostility was positively related to client expression of hostility and, in complementary fashion, therapist avoidance of hostility led clients to either stop discussion of a particular topic or to change the topic of discussion. This effect was strongest when the therapist was the object of the client's hostility. In this way therapist avoidance led to inhibition of the patient's exploration of angry feelings. In a review of the literature on the expression of attitude in psychotherapy, Page (1977) concluded:

This need surprised us one since the communication of such feelings as anxiety, hostility, or dependence by the patient is considered to be central to the psychotherapeutic process. The therapist's task is to prompt such communication. However, his tendency to client emotional communication is not unrelated to the therapist's level of his own anxiety. The preceding section presented some evidence that general affective expressiveness by the therapist has a stimulating and associative effect on the patient. (p. 382)

The other studies support Snyder's analysis of the psychological treatment of dependent and hostile behavior by clients. In research on the way therapists reinforce affective expressions in patients, Minner, Broad, Sanders, and Lee (1982) found that (1) in the initial phase of treatment, if a patient's expressions of dependency are approached by the therapist, the patient has a greater likelihood of remaining in treatment, and (2) if expressions of dependency and hostility in patients are approached by the therapist, these behaviors will increase in frequency. In similar fashion to Sanders et al. (1983) and Page (1977), the authors made reference to the inhibiting effects of therapist hostility about dependency and hostility and concluded that

the patient will tend to avoid the treatment relationship if there is a lack of either positive or negative reinforcement of dependency. In addition, Winter et al. (1982) draw a distinction between the short-term benefits and the long-term drawbacks of such a complementary distinction.

But, one must bear in mind that it is the initial and short-term effects of positive reinforcement which are studied here. . . . It may be that in the long run, repeated approach on the part of the psychotherapist might have rather different effects. If indeed psychotherapists do continue to respond with approach. If approach were continued at a high relative rate, patients may come to perceive the psychotherapist as controlling, may thereby become submissive and progressively more expressive of animosity, aggression, and other reinforced categories, may become envious, or, in line with the therapist's hypothesis, there may be reduced expression regarding topics with repeated restrictions. (p. 138)

Bussati and Segler (1981) reported findings similar to Winter et al. in a study of the effect of therapists' anxiety associated with the expression of hostility by clients. They arranged for actors to play the roles of friendly and hostile clients and assessed therapists' responses. The authors found that hostile client behaviors led to significantly greater counselor anxiety than friendly client behavior.

Both Mintz, Luborsky, and Auerbach (1971) and Weiss (1976) have provided results that emphasize the importance of expression of hostility by clients in successful treatment outcome. Mintz et al. found that expression of hostility in the early phase of treatment was associated with positive treatment outcome with female clients. In studying the effects of group psychotherapy with juvenile delinquents, Weiss also found that client expression of hostility was associated with positive behavior.

This section of the thesis has reviewed the literature in the field of psychotherapy in general on the interpersonal roles of therapists and clients and several conclusions are offered. In

particular, hostile and dependent behaviors and attitudes expressed by patients in psychotherapy elicit certain specific reciprocal behaviors and attitudes in treatment personnel (i.e., dominance and hostility). These 'complementary' interpersonal behaviors on the therapist's part may be necessary to establish a strong and stable treatment relationship with the patient. Any inhibition of these reciprocal responses in the therapist may lead to an inhibition of the patient's expression of affect and, consequently, to less successful treatment. However, a distinction is made between the short-term goals of maintaining a complementary disposition toward the patient in terms of the stability of the therapy relationship and the long-term risk of maintaining such a disposition in terms of the rigidity and inflexibility of the treatment relationship.

It is important to note that these specific to the treatment of alcohol abuse which is consistent with these conclusions. Pattison et al. (1977) recognized potential problems associated with the wide range of dispositions in treatment personnel, particularly with hostile and overly punitive therapists. The analysis in this section of the thesis provides a strategic approach to understanding the problems addressed by Pattison et al.

Arner et al. (1979) were more specific in regard to the potential benefits of matching clients with specific personality characteristics with therapists with particular treatment styles. On the basis of research by Blane and Rogers (1966) and Blane (1968), Arner et al. (1979) proposed that treatment approaches based on sympathy, support, and permissiveness may be more beneficial for overly dependent alcoholics and treatment approaches emphasizing direction and authority may be more



efficiency with severely comorbid-dependent alcoholics. Further, these authors suggested that treatments that are more suitable to alcoholic patients may affect clients' decisions to seek and remain in treatment.

In discussing the interaction between alcoholics and treatment,

Briner et al., recommended that

The major focus of interest here is the issue of client-treatment interactions: the question of whether there are certain treatments that are uniquely successful with certain types of clients because the treatment is 'matched' to the needs of the client. . . . By examining the retention rates of many different client-treatment combinations, we should be able to estimate the benefits that may be expected from such efforts to match clients to appropriate treatments. We should also be able to deal with a second problem: the possibility that treatments may be confounded with client characteristics. (1976, p. 120)

#### The Problem of Continuation in Treatment and Discontinuation in Treatment of Alcohol Abuse

A general conclusion taken from the literature on treatment of alcoholism is that directive approaches to treatment are more effective than less directive approaches. Support for this proposition arises largely from research examining the equation that, generally speaking, therapy attendance is proportional to patient improvement. That is, the longer the alcohol abuser is in treatment, the greater the likelihood that he/she will improve.

The problem of patient "drop out" is not specific to alcoholism treatment. In a review of research on client variables in psychotherapy, Garfield (1974) concluded that there is a positive relationship between length of therapy and outcome in psychotherapy in general and emphasized the importance of treatment meeting the expectations of the client in order to insure that the client will remain in treatment.

Further, Garfield recognized the problem of premature termination in psychotherapy in general). He reviewed several studies of length of treatment and concluded that:

On the basis of the data presented above, therefore, it is apparent that contrary to traditional expectations concerning length of therapy, most alcohol patients require no therapy for only a few interviews. In practically all of the clinics studied, this pattern was viewed as a problem and was not the result of a deliberately planned brief therapy. Rather, in most instances, the patient failed to return for a scheduled appointment. (p. 117)

Patients dropping out of treatment has long been recognized as a particular obstacle to alcoholism rehabilitation. In a research study spanning five years and examining eight outpatient clinics and related treatment facilities operated by state alcoholism programs in the various United States, Sanford and Senger (1988) reported that approximately half of the 198 outpatients they studied attended treatment less than four sessions and that only about one-fifth of these patients attended at least ten times during a twelve-month period. Rosenburg and Lifsch (1976) found that outpatients required to attend treatment by court order had higher attendance rates than patients required to attend by court order. In a review of literature on patient retention in treatment, Rosenburg, Gennep, Muehlen, and Lifsch (1978) concluded that up to 80% of patients in alcoholism treatment fail to return for a second visit and less than 25% return for more than four visits.

A clear and consistent finding in research on alcoholism treatment is that patients who continue regularly to treatment have higher rates of improvement than patients who drop out of treatment. Powell and Vismontes (1984) and Rosenburg (1978) concluded that patients who

continued in treatment have higher rates of sobriety than patients who discontinued... Bailett and Lundell (1972) and Amor et al. (1978) also reported that treatment length is positively related to outcome. In a review of 384 studies of psychologically oriented alcoholism treatment published during 1940-1972, Berens (1975) found that rates of improvement of drinking problems increased as time in treatment increased. Based on the literature presented above, Rosenberg, Gennaro, Raskhar, and Liffick (1974), O'Brien (1975), O'Brien and Cohen (1980), and Miller and Raskhar (1980) have concluded that rate of retention in treatment should be a primary component in treatment evaluation.

Active and directive treatment approaches have been found to be more successful than less directive approaches in maintaining alcoholics in therapy. Rosenberg, Gennaro, Raskhar, and Liffick found that counselors who were more successful at keeping alcoholics in treatment scored higher on cluster 1 of the California Personality Inventory (self-assurance, pride, and ascendancy). O'Brien showed rates of directive (Eliert (1974) and non-directive (Carl Rogers) therapists to be higher with alcoholics and found that the alcoholics overwhelmingly preferred the directive approach for their own treatment. This finding is especially provocative considering that O'Brien's subjects perceived the non-directive approach as more socially desirable--more considerate, warm, friendly, patient, tolerant, sympathetic, and pleasant--and the directive therapist was seen as more cruel, obstructive, insulting, condescending, hostile, unreasonable, unpleasant, cold, active, and uninviting.

In evaluations of drug treatment of alcohol abuse, Fawell and Flannigan (1984), Rosenberg (1986), and Bernstein, Rosenberg, and Rescher (1979) have concluded that 'active' treatment approaches are more effective with alcoholic patients. Bernstein et al. found that patients who received disulfiram under supervision remained in treatment substantially longer than patients who were given a written prescription or who did not receive disulfiram. Fawell and Flannigan found that regularity of attendance at an alcohol day hospital program was associated with whether or not patients received medication. Rosenberg found that patients at an inpatient clinic receiving medication had higher retention rates than patients receiving no medication. These authors concluded that alcoholics may consider 'active' treatment, such as prescribing medication, as evidence of interest by treatment personnel and thus respond more favorably to treatment.

As much as court-mandated attendance for alcoholism treatment can be considered an active and directive treatment approach, and, given the goal of patient retention, court-mandated treatment is an effective intervention. In this regard, Rosenberg and Liska (1986) and Rosenberg (1984) have reported that patients who were referred for treatment following an alcohol-related offense attended treatment longer than voluntary patients irrespective of treatment approach.

In reference to the hostile and adversative disposition of alcohol abusers and the institutional dependency conflicts addressed previously in this thesis, several authors have referred to the connection between directive treatment approaches and the dependency conflict between treatment personnel and alcoholics in treatment. Gody, Pittsford, and Rossi (1984) found that patients and staff in an

alcohol rehabilitation center had different conceptions of what constituted the most important components of an alcoholism treatment program. They suggested that these differences are a source of conflict and that this conflict results from alcoholists' desire for more dominance and the treatment regimen is not responding to these needs. Ramey (1975) recommended an active and direct intervention early in the treatment relationship with alcohol drinkers. He suggested that if the dependency is not addressed directly, the dependence on the therapist will turn to resentment and distrust, and, if the therapist avoids this direct and overt approach to the dependency he/she is participating in a 'transferenceal alliance' with the alcoholic. Miller (1974) considered alcoholics to be generally dependent, insecure, impulsive, and lacking a stable sense of identity and therapists to be the wished-for and needed parent. He recommended that the therapist assume the role of parent and that, consequently, the alcoholic will improve because of the directions and suggestions of the longed-for parent who is now present in the form of the therapist. In his review of psychological treatment of alcoholism, Smith (1976) suggested that:

... some elements in the treatment environment have alcoholists by eliciting thoughts and feelings of disappointment, abuse, neglect or rejection. This aversive state functions as an antecedent to further drinking, resulting in fewer patients improving. . . . A major expenditure of resources might be in the area of developing strategies to manage alcoholics in therapy, any kind of therapy. . . (pp. 54-55)

As noted previously, the problem of patient drop out is not specific to alcoholism treatment. The solutions to this problem also

generalize across clinical issues. In Jarfield's (1986) review of client variables in psychotherapy, in general, he recognized that incongruence between patient expectations of treatment and therapist expectations is likely to lead to an unstable treatment relationship and recommended the appropriate match of patient treatment approach as a remedy to premature termination.

### Evaluating Treatment Outcomes

The evaluation of the outcome of treatment of alcohol abuse presents an ongoing task for any research project. One conclusion offered previously in this paper is that continuation in treatment is a viable outcome measure. This section of this thesis includes a discussion of the assets and liabilities of other outcome measures, an examination of the interrelationships among outcome measures, and philosophy of evaluating treatment outcomes.

The literature on evaluation of outcomes of psychotherapy in general is relevant to this discussion. In a review of the literature on evaluation of treatment outcomes, Jorgis and Lambert (1986) concluded that measuring kinds of change is the task of treatment evaluation, not whether or not a uniform change occurred. Further, these authors argued for assessment of both behavior changes in clients and of changes in internal states of clients following treatment. Simply stated, Jorgis and Lambert contended that change in patients following psychotherapy is multidimensional. These authors also argued for several different kinds of outcome measures following treatment because of the "fallibility" of almost all measures with the single exception of length of treatment because of its objective nature.

Both Bergin and Lambert and Hritz (1987) have discussed the merits and limitations of therapists' ratings of treatment outcome, and both concluded that therapists' ratings tend to be more positive than other ratings. In a review of the literature on the role of the therapist in evaluating treatment outcome, Hritz concluded that, across studies, there is a significant but low level correlation between therapists' ratings and other outcome criteria. In particular, therapists' ratings were significantly correlated with client ratings and with pre- and post-adjustment scores (behavior change). The correlations between therapists' ratings and client ratings tended to be higher when the same relationship instrument was used by both clients and therapists. Hritz speculated that therapists and clients may evaluate treatment outcome using different criteria, not be dependent or overlapping either source. Hritz offered this speculation:

To some degree, then, the therapist ratings do directly reflect improvement, but this improvement is modified to take into account the fact that different performance standards exist for different people. Figuratively, it is suggested that the therapist views the patient's improvement, rescales it relative to his expectations for a patient of that type, and then adds a component of personal evaluation whose determinants are unknown at this time. The result is the global outcome measure. (p. 188)

A clear and solid association in the recent literature to the evaluation of alcoholism treatment is that the abstinence criterion is not a valid measure as a singular outcome criterion. Amor et al. (1984) concluded that achievement of abstinence is not necessarily related to improvement in other life problems and that uncontrolled alcohol use does not necessarily mean that other life problems do not improve. Thus, these authors suggested the implementation of multiple measures in assessing treatment outcome.

Fortman, Sobell, and Sobell (1977) concurred with Anon et al. but were more detailed and specific in the development of the same conclusions. Their reasoning and some of the data they used to make these conclusions are described below.

In their analysis of the state of the art of alcoholism treatment, Fortman et al. recognized that changes in drinking patterns are a valid outcome goal and moderation in amount of alcohol intake does have a significant correlation with other life improvements but that abstinence is only one of numerous potential drinking outcomes and there is no justification for assuming that it is a more desirable goal. The authors offered this clarification in reference to abstinence as an outcome criterion:

... It has been assumed that alcohol-dependent persons who do drink cannot be considered to be functioning successfully. This assumption was not examined in most of the earlier evaluation studies, as they ignored other areas of life health. However, even some persons who have been physically dependent on alcohol have been able to change their drinking patterns and attain a successful life adjustment...

Total abstinence may be associated with improvement, or change, or deterioration in other critical areas of total life health. The singular and inappropriate concentration on abstinence as a criterion of successful treatment of alcohol dependence overlooks or obscures treatment methods which should focus on rehabilitation in other areas of life health... In a given case, abstinence may be neither a necessary nor a desirable goal in some of drinking outcomes. (p. 290)

Fortman (1984), in arguing for utilization of multifaceted outcomes in evaluating alcoholism treatment, preceded Fortman et al. in concluding that abstinence and mental health bear no necessary relationship. Further, he contended that abstinence may be unnecessary and even contraindicated in treatment of the alcohol abuser. To illustrate the point that abstinence may result in deterioration in overall life adjustment, Fortman described the use of alcohol to



maintain ego integration and alternate reality by the borderline psychotic who would, most probably, become severely psychotic. If abstinence were required, improvement in functioning in other areas could be prevented.

The interrelationship of other life problems with alcohol problems is a fundamental issue for facilitation of abstinence in applying for multidimensional outcome measures. They arise in abundance of studies examining other outcome criteria including emotional adjustment, interpersonal relations, social adaptation, vocational stability, and physical health which support the notion "that abstinence alcoholics sometimes show no rehabilitation or even deterioration in their life adjustment despite abstinence; conversely, nonabstinent alcoholics may show partial or total rehabilitation in terms of these other life variables" (p. 32). Four such studies reviewed by Patterson et al. are presented below.

Patterson, Henggley, Kiser, and Gottschalk (1968) used standardized interviews to assess mental health, physical health, interpersonal health, and vocational health in the Cincinnati Alcoholism Clinic and found that abstinence and healthy life adjustment are independent dimensions. In comparing alcoholics whose drinking is nearly controlled with alcoholics whose drinking is nearly uncontrolled, Seifert (1972) also found that drinking alone does not account for change in other areas of clients' lives. On the basis of clinical interviews and sociological records, Sobell and Sobell (1976) found that change in

drinking behavior are not necessarily related to changes in vocational functioning and general adjustment. Finally, Pettit, Fan, and Sober (1983) used the same structured interview procedure developed by Pettit et al. (1984) and found that changes in drinking behavior were not absolutely related to changes in physical health, interpersonal health, and emotional health.

Pettit, Sobell, and Sobell offered this statement in regard to the evaluation of alcoholism treatment outcome:

Whatever the nature of the drinking variable, there is no necessary correlation with the other variables of life health outcome. Change or no change in drinking status is not highly predictive of changes in these other variables, although there is a positive association between improvement in drinking and improvement in other areas of life health. It is a reasonable clinical assumption that a person will be able to achieve better adaptation in other life areas if his drinking improves. However, there are two confounding sets of possible alternative outcomes: (1) drinking may not improve while there may be improvement in other aspects of life health, (2) drinking may worsen while there may be no change or even deterioration in other areas of life health. (1987, p. 227)

One final issue surrounding the assessment of alcoholism treatment outcome should be addressed, the reliability and validity of patient self-reports of drinking behavior. Borgia and Lambert (1978) recognized a "lack of blinding procedures" in patient self-report data in general and recommended the use of collateral outcome measures in assessing outcome of psychotherapy.

Pettit, Sobell, and Sobell reviewed studies which investigated the reliability and validity of self-reports of drinking by alcoholism patients and concluded that the existing evidence supports the adequacy

of self-report for use as outcome data... They cited the research by Sobell, Sobell, and Smailes [1994] in which a comparison of legal records with self-reports of prior alcohol-related arrests indicated that such information is accurate and appropriate as a primary information source.

In a review of data from three national programs, Anon et al. (1995) addressed the problems associated with self-reports involving deviant behaviors such as alcoholism. They found that in national surveys the heavier drinkers (e.g., alcoholics) have a greater tendency to underreport their level of alcohol consumption than more moderate users... However, in experimentally controlled situations, alcoholics' self-report is quite accurate when compared with actual measures of blood alcohol concentration. These authors also found that, according to the BIAAC monitoring system, the validity of self-reports of alcoholics at intake "appears to be quite reasonable, with no substantial overreporting or underreporting" (p. 180). In evaluating the comparison of self-reported drinking, blood alcohol concentrations, and clinical assessment in the final report, Anon et al. concluded that self-report is as valid as any other practical measure of drinking behavior.

In concluding their evaluation of the use of self-report of alcohol consumption, Anon et al. presented a "fairly positive picture":

First, reliability and validity of the frequency of drinking... and of whether one has drunk at all... appears to be quite satisfactory for behavioral measures of this type whether we are speaking of the general or the alcoholic population. Not only is the consistency/reliability high for both groups, but, when self-reports about recent drinking are compared with BAC tests, very few persons who claim no drinking are found to have positive BAC's.

Second, the gross reliability of the report of drinking appears to be adequate for the alcoholics population, particularly at the time of entering treatment. This means that one can probably depend on the daily consumption index to give a fairly accurate description of the amount of drinking for groups of alcoholics (e.g., for the clients of a treatment center taken as a whole).

Finally, we must contrast the positive picture with a potential trouble spot. It would appear that amount of consumption is underreported among some of the heavier drinkers in both the general and the alcoholics populations... For alcoholic populations the underreporting appears to be confined to a smaller proportion (than the general population), so that the group validity is not affected to the same degree. Perhaps 10 to 15 percent of alcoholics who have been drinking recently underreport to such an extent that they might be incorrectly classified as nonalcoholic (1978, p. 2045).

### Final Considerations

The research methodology described in this thesis is designed to assess the incorporated styles of treatment personnel and alcohol abusers in treatment. Before stating the conclusions and hypotheses generated from this review of literature, two potential biases should be noted.

First, some subjects in this study will be asked to volunteer their participation, certain kinds of alcohol effects may exclude themselves from the research. Griffith (1983) reviewed three studies that found that patients in general psychotherapy who consent to completing pre-therapy questionnaires are more likely to continue in treatment than patients who do not complete pre-therapy questionnaires. One implication of these findings for the current research is that the patients who are most difficult to motivate in treatment may not participate in the study.

A second potential problem is that the interpersonal roles assumed by clients and therapists may be restricted. In a study of client-therapist complementarity, Schaller (1970) found stereotypic role

behavior on the Locus Circumplex in clients and therapists in initial interviews as a university counseling center. According to ratings based on audiotapes of fifteen-minute segments of clinical interviews, Scullion concluded that men were more predictive of therapist-client behavior than individual differences. Clients scored in the Affiliative-Dominant quadrant 75% of the time, and therapists scored in the Affiliative-Dominant quadrant 65% of the time. In an assessment of alcohol counselors' self-descriptions of their in-session interpersonal behavior, Asari (1981) found that, although alcohol treatment personnel reported variability along the Love-Hate dimension of the Locus Circumplex, alcohol counselors reported that they tend to demonstrate primarily Affiliative-Dominant behavior in treatment interactions.

Given these role prescriptions, the sociological requirements of the treatment interaction may make it difficult for therapists and alcohol abusers in treatment to present hostile behaviors. Perhaps the extent to which clients and therapists demonstrate hostile interpersonal styles in alcohol treatment should be considered in reference to the role prescriptions of the client-therapist interaction in general.

### Conclusions and General Synthesis

Briefly stated, the conclusions reached in the previous section of this thesis are that:

1. In initial interactions with therapists, alcohol abusers will tend to present hostile-dependent dispositions.
2. In initial interactions with alcohol abusers in treatment, therapists will tend to present hostile/dependent dispositions.

3. Therapist-client dyads in alcohol abuse treatment with higher rates of interpersonal complementarity will have higher client attendance rates (more stable relationships) than therapist-client dyads with lower rates of interpersonal complementarity.
4. Alcohol abusers interacting with therapists demonstrating more affiliative-dominant behaviors will show more improvement in life adjustment than alcohol abusers interacting with therapists demonstrating less affiliative-dominant behaviors.
5. Alcohol abusers interacting with therapists demonstrating more dominant interpersonal behaviors will show more improvement in drinking behavior than alcohol abusers interacting with therapists demonstrating less dominant interpersonal behaviors.
6. Alcohol abusers with more rigid interpersonal styles in treatment interactions will demonstrate lower general adjustment than alcohol abusers with less rigid interpersonal styles in treatment interactions.
7. Alcohol abusers in therapist-client dyads with higher rates of interpersonal complementarity will demonstrate more improvement in drinking behavior than alcohol abusers in therapist-client dyads with lower rates of interpersonal complementarity.
8. Alcohol abusers in therapist-client dyads demonstrating changes in interpersonal styles within treatment interactions will show more improvement in general adjustment than alcohol abusers in therapist-client dyads either demonstrating less change or no change in interpersonal styles within treatment interactions.
9. Client improvement in drinking pattern will be positively related to client improvement in general adjustment. However, some alcohol abusers will demonstrate improvement in drinking behavior or general adjustment but not both.

## CHAPTER III

### METHOD

#### Subjects

Treatment staff from six different centers that offer services for alcohol abusers were contacted. Of these, staff from three centers consented to participate in the project. The expressed reasons for not participating provided by the other three centers tended to involve a reaction to the time and energy involved in such a project. Two of these agencies also expressed some philosophical reservations such as "not believing" in research.

The agencies that participated were two alcohol treatment services out of the Northern Nebraska Comprehensive Mental Health Center in Norfolk, Nebraska and in Columbus, Nebraska and the Alcoholism Treatment Center out of the Sunset Mental Health Center in Brooklyn, New York. All three of these agencies are linked to community mental health centers. None of them offer inpatient treatment but each is frequently involved in referrals to inpatient programs.

Within agencies, some staff members decided not to participate from the start of the project and some staff members who decided to participate in the beginning actually did not participate. Seven staff members are included in this study. They represent an age range from the mid-thirties to the mid-forties. Two of them had previously received the Ph.D. in psychology, and two were in the final stages of doctoral programs in psychology. The others were employees themselves and both had

received formal training in the treatment of alcohol abuse. The first staff member who participated had previously received the R.A. psychology. Only one of the participating staff members did not have extensive experience in treating alcohol abusers. Each of the other staff members had at least one year experience within their treatment program. Two of the participating treatment personnel were female.

Twenty-four individuals in treatment for alcohol abuse were included in the project. Fourteen attended treatment on a voluntary basis, and ten were required to attend treatment by the court. All of the clients were Caucasian, only two were female. They ranged in age from eighteen to sixty-four.

The twenty-four treatment cases were selected from only psychotherapeutic cases involving alcohol abuse with the potential of long-term individual therapy. Since length of treatment was a variable to be considered in this study, all cases initially included that resulted in outcome data were examined regardless of whether the patient left treatment prior to completion. Each client agreed to participate on the basis of receiving fifteen dollars compensation following completion of the post-treatment questionnaires.

### Instruments

#### Current Adjustment Rating Scale (CARS)

In a review of techniques and issues in assessment of treatment outcomes, Bergin and Lambert (1978) presented evaluation instruments that they considered to be useful and valid. One such instrument that has been used extensively is the Current Adjustment Rating Scale developed by Miles, Barrowen, and Pinsof (1984) and later adapted by Truss (Bergin, Barrowen, and Savary, 1985).



The GMS device can be completed by clinical observers and significant others as well as by therapists and patients under differing institutional sets. The scale consists of 14 nine-point Likert-type scales with which the respondent can evaluate the patient's current functioning (e.g., mental adjustment, recent changes, extent of living up to personal and vocational potential, ability to work steadily), various current satisfactions (e.g., life, work, sex, leisure-time activities, relationships with friends, relatives, spouse, partners), and aspects of the patient's social stimulus value (e.g., likeability to others, likeability to the therapist, others' satisfactions in relationships involving the patient). The sum of the ratings across all 14 areas represents the patient's current life adjustment with high scores indicating favorable adjustment.

Wiles, Bernstein, and Flournoy (1971a, 1971b) and Bernstein, Hansen, and Severy (1971) provided data that support the reliability and validity of the GMS instrument. Wiles et al. (1971a) assessed ten case studies using four independent raters for both "initial" and "follow-up" ratings on occupational adjustment, interpersonal relationships, marital adjustment, and sexual adjustment. The four observers agreed exactly on 52% of the ratings, showed a disagreement of one point on the scale in 32%, and differed by two points on the scale in 16%. No disagreements by more than two points occurred. These differences were partially dissipated when the separate ratings were combined into an assessment of total social adjustment.

Two of the raters repeated their appraisals of 15 cases after a lapse of six to eight weeks to check consistency. On the four

interviews, one rater checked his own results exactly in 743 of the instances and differed by a single point in 83. The second rater checked his own results exactly in 705 and showed a discrepancy of one point in 102.

In addition, Wilson et al. (1981a) reported a "close correlation" between clinicians' evaluations and patients' self-evaluations on the GARS instrument.

Sevin et al. (1975), in a study of multi-source evaluations of schizophrenic outpatients, concluded that the GARS instrument may be the most promising instrument they examined because of its strong relationship to other measures of improvement and because of its brevity. The authors used the MMPI, Psychiatric Status Schedule, Rogers and Dryden's 8 Sort, and the GARS device to study the relationship among outcome measures in 39 client-therapist dyads. Sources of ratings came from therapists, clients, and trained observers. Positive outcomes were reported across instruments and across sources of ratings.

### Beverly Alcohol Test (BAT)

The extent and pattern of alcohol consumption by particular drinkers was assessed via the Beverly Alcohol Test (Beverly and Parker, 1976) which is an expanded and modified version of a quantity-frequency index of alcohol consumption developed by Galanter, Erick, and Grossberg (1968). The BAT is designed to provide a comprehensive description of the respondent's drinking pattern.

A variety of other information can be gleaned from the profile, including (1) the within-subject (or group) variation of drinking; (2) by having separate scales for the three beverages, any differences in the amount or pattern of drinking beer, wine and spirits can be easily assessed; (3) The degree to which an

individual (or group) engages in "spurts" or "binges" drinking, as opposed to continuous drinking. Comparison of the usual and usual-plus-frequency and volume scales can indicate the variability of drinking over time. (2) Separating the regular pattern of heavy, frequent, and individual's (or group's) average volume of consumption (the Annual Absolute Alcohol Intake scale) can be compared with the population's mean and the extent of its deviance determined statistically. (Kasern and Lerner, pp. 1021-1022).

The reliability and validity of such self-report data is discussed in a previous section of this thesis.

### Larry Interpersonal Check List

Interpersonal operations by clients and therapists within the current research was assessed with the Larry Interpersonal Check List (Leary, 1987). The derivation of this instrument was described previously in this thesis. Reliability and validity data have been described by Williams and Benjamin (1979), Benjamin (1984), Garson (1988), Leary (1987), Lorr and McQuir (1982, 1983), Lorr, Stiles, and McQuir (1985), LaFarge, Leary, Malachuk, Coffey, and Friedman (1988), Leary and Coffey (1988), Friedman, Leary, Saccaria, and Coffey (1989), and LaFarge and Saccaria (1989).

The form of the IC, 360 was used to develop this research project (Form 18) comprises 18 items—eight for each of the sixteen interpersonal operations. The intensity dimension is built into the sheet list so that each of the sixteen interpersonal variables is represented by a four-point scale. For each variable there are one intensity 1 item which reflects "a mild or necessary amount of the trait," three intensity 2 items which reflect "a moderate or appropriate amount of the trait," three intensity 3 items which reflect "a marked or inappropriate amount of the trait," and one intensity 4 item which reflects "an extreme amount of the trait."

### Rogers and Spence Outcome Evaluation Form

In a review of therapist evaluation of treatment outcome, Rogers and Lambert (1979) concluded that the rating scale developed by Rogers and Spence (1964) may be the measure of choice for assessment made directly by the therapist as it has been shown to correlate positively with other more sophisticated and time-consuming measures (Cartwright, Finkbein, and Fiske, 1982 and Lave, Edgar, Wilson, and Berlin, 1982).

For the purposes of the investigation of alcoholism treatment, one item was added by this author (Lavel, 1982). This additional item is designed to assess therapists' judgment of drinking behavior. The Rogers and Spence scale was based on a research project examining "therapeutic content" in 23 treatment cases. The particular items selected for this study were intercorrelated with measures of process variables and were found to correlate positively with two other outcome measures (Thematic Apperception Test and a self-description 3 form measure) in the research by Rogers and Spence.

### Behavioral Analysis System

Client-therapist interactions were examined in the current research via the interpersonal system of behavioral analysis developed by Lave (1982) and elaborated by Freedman, Lave, and Coffey (1981) and LaForce and Sutton (1981). The particular method of outline analysis used in this research is adapted from a procedure originally presented by Orvaschel and Berlin (1978).

According to this system of interaction analysis, each response with (an uninterrupted speech) by a therapy participant is scored and located in one of the four Leary quadrants. Raters were instructed to experiment in order to rate responses according to the position of the person to whom the behavior was directed.

These ratings on the Interpersonal Rating System provide the data from which therapist complementarity indices were calculated. This index, developed by Inshell and Rialas, is designed to reflect the level of response by response complementarity exhibited by a therapist. To accomplish this the authors constructed a 4 x 4 interaction matrix. Each interaction cell is weighted (.5, 2 or 7) to indicate the level of complementarity in a particular behavioral exchange. The complementarity index for a specific segment of relationship between a client and therapist is calculated by inserting the proportions of rated interactions into the appropriate cells, multiplying each proportion by the cell weighting, and summing the weighted proportions across all 16 cells. Larger complementarity index values indicate higher levels of therapist-client complementarity.

In addition, interpersonal behaviors according to the Leary dimensions were assessed for clients and therapists for each audio-taped segment of psychotherapy. These interpersonal operations were calculated in terms of the proportion of responses in each of the four Leary quadrants.

#### Procedures for Data Collection

In each agency that participated in the research, the project was presented in a formal presentation at a staff meeting. The focus of

This presentation was on the practical requirements that the staff were asked to seek for data collection. The development of the thesis and the experimenter's hypotheses were not discussed. At the end of the presentation, the Informed Consent Form for Counselors (see Appendix A) was presented to the staff so that they could determine whether or not they were willing to participate.

Each agency was provided with a set of cover sheets to organize the data for each client-therapist dyad. The cover sheet (see Appendix B) identified the counselor, the client, the age of the client, the sex of the client, whether or not the client was required to attend treatment by the court, the dates of each session that the client attended, and a series of reminders as to how to best facilitate data collection. In addition, arrangements were made for each counselor who consented to participate to receive a tape recorder and a set of audiotapes prior to each session with clients in the study.

The receptionists at each of the mental health clinics involved in the project were instructed to provide the Informed Consent Form (see Appendix C) to each of the clients of consenting counselors prior to the initial interview. Each client who consented to participate was asked to complete the GRS and the GRI instruments prior to the initial meeting with the counselor. The version of the GRS instrument that was presented prior to treatment appears in Appendix D.

Every counseling session in each operating client-therapist dyad was audiotaped and labeled by the counselor with the following information: name of counselor, client identification, date of the interview, and sequence of the interview. Although every audiotape was not

session, every session was videotaped to ensure that the final treatment interview was recorded.

Following the sixth interview or following cessation of treatment, if counseling was terminated prior to the sixth interview, the post-treatment data was collected. Counselors were asked to evaluate the outcome of treatment in the adapted version of the Rogers and Bynum Outcome Evaluation Form. Clients were asked to evaluate their drinking behavior on the RLF instrument and their general life adjustment on the GRS instrument relative to their life experiences since entering treatment with their current counselor. Instructions for this procedure were provided on a cover sheet that was presented to the client prior to completing the post-treatment questionnaires (see Appendix E). An item was added to the GRS instrument for post-treatment abstinence. This version of the GRS appears in Appendix F. If the client terminated treatment before designating a final session, the post-treatment questionnaire was mailed to the client along with a request for completion. Client-therapist dyads who completed only a single treatment session were included in the data analysis if post-treatment data was provided.

Treatment outcome was evaluated from three sources: (1) differences between client pre- and post-scores on the GRS to evaluate change in general adjustment, (2) differences between client pre- and post-scores on the RLF to evaluate change in drinking behavior, and (3) therapist evaluation of outcome on the Rogers and Bynum scale.)

### Procedure for Scoring the Audiotapes

As mentioned previously, every counseling session for each client-therapist dyad was audiotaped. Only the first, third or sixth, and ninth (third or fourth) sessions between the first and final sessions, however, were rated. Fifteen-minute segments from these sessions were scored. The rated segments began at fifteen minutes into the interview and ended at thirty minutes into the interview.

Two graduate students from a doctoral program in Clinical Psychology who had training and experience in professional counseling were trained to rate audiotapes according to the Leary dimensions. The dimensions were introduced to written form to both raters and the criteria for designating quadrants were discussed informally. Practice tapes were rated until the agreement rate on consecutive tapes exceeded 75 per cent. Chaffetz and Aschley (1976) found a mean agreement rate over 80 per cent across raters in similar research. The raters were "blind" to the experimental hypotheses as well as to the sequence of the sessions being rated.

The calculations of complementarity according to the Behavioral Analysis System were conducted by the principal investigator based on the evaluations of the sessions made by the raters.

### Experimental Hypotheses and Procedures for Calculation

1. In initial therapy sessions, clinical dyads will present interpersonal operations in equal proportions on the Leary dimensions. This hypothesis will be rejected if clinical dyads in treatment demonstrate primarily hostile dependent operations in initial sessions. The rate of responding in each Leary quadrant was calculated



- by summing the number of instances in each category for both raters and dividing by the number of total responses for both raters.
2. In initial therapy sessions with alcohol abusers, treatment personnel will present interpersonal operations to equal proportions on the Loomy dimensions. This hypothesis will be rejected if treatment personnel demonstrates priority hostile dominant operations in initial sessions. The same calculation procedure was used to determine the proportion of responses in each quadrant as described in the first hypothesis.
  3. Rates of interpersonal complementarity in therapist-client dyads according to the Behavioral Analysis System will be correlated to treatment attendance rates. This hypothesis will be rejected if therapist-client dyads with higher rates of interpersonal complementarity have higher attendance rates than therapist-client dyads with lower rates of interpersonal complementarity.
  4. Rates of affiliative-dominant behavior in therapists according to the Loomy dimensions will be correlated to improvement in general adjustment in alcohol abusers according to differences in pre- and post-treatment scores on the CANS instrument and according to therapist ratings on the Rogers and Spence Outcome Evaluation instrument. This hypothesis will be rejected if alcohol abusers interacting with therapists demonstrating more affiliative dominant operations show larger improvement on these ratings than alcohol abusers interacting with therapists demonstrating less affiliative dominant behaviors.
  5. Rates of dominant behavior in therapists according to the Loomy dimensions will be correlated to improvement in drinking behavior in alcohol abusers according to differences in pre- and post-treatment

scores on the IAT and according to therapist ratings on the Rogers and Symond Outcome Evaluation Form. This hypothesis will be rejected if alcohol abusers interacting with therapists demonstrating more dominant operations show greater improvement in drinking behavior than alcohol abusers interacting with therapists demonstrating less dominant operations.

6. The range of alcohol abusers' interpersonal operations on the Lerry dimensions in treatment interactions will be unrelated to improvement in general adjustment according to differences in pre- and post-treatment scores on the GMS Inventory and according to therapist ratings on the Rogers and Symond Outcome Evaluation Form. This hypothesis will be rejected if alcohol abusers demonstrating a more limited range of interpersonal operations in treatment interactions show less improvement in general adjustment than alcohol abusers demonstrating a greater range of interpersonal operations in treatment interactions. The range of interpersonal operations was calculated by summing the absolute values of the differences between the proportion of responses in each quadrant in each session and the value expected by chance (25%). Lower values are associated with a greater range of interpersonal operations.
7. Rates of interpersonal complementarity in therapist-client dyads according to the Behavioral Analysis System will be unrelated to improvement in drinking behavior according to differences in pre- and post-treatment scores on the IAT and according to therapist ratings on the Rogers and Symond Outcome Evaluation Form. This hypothesis will be rejected if alcohol abusers in therapist-client dyads with higher rates of interpersonal complementarity demonstrate

more improvement in drinking behavior than alcohol abusers in therapist-client dyads with lower rates of interpersonal conflict.

3. Changes in problematic interpersonal operations by alcohol abusers in treatment interactions according to the Leary dimensions will be correlated to improvement in general adjustment according to pre- and post-treatment scores on the GRS instrument and according to therapist ratings on the Rogers and Symons Behavior Evaluation Instrument. This hypothesis will be rejected if alcohol abusers demonstrating greater change in interpersonal operations in treatment interactions show more improvement in general adjustment than alcohol abusers demonstrating less change in interpersonal operations in treatment interactions. Change in the rates of operations in each Leary quadrant were calculated by summing the absolute values of the differences between the proportion of responses in each quadrant from session to session. The difference in rate in each quadrant was calculated by obtaining the differences from the initial to the middle session, from the initial to the final session, and from the middle to the final session. Higher values are associated with greater change in the kind of interpersonal behavior presented during sessions.
4. Client improvement in drinking behavior according to differences in pre- and post-treatment scores on the GRI instrument and according to therapist ratings on the Rogers and Symons Behavior Evaluation Form will be correlated to client improvement in general adjustment according to differences in pre- and post-treatment scores on the GRS instrument and according to therapist ratings on the Rogers and

General Outcome Evaluation Form. This hypothesis will be rejected if clients demonstrating greater improvement in drinking behavior also were experienced as general adjustment than clients demonstrating less improvement in drinking behavior.

All of the statistical analyses in this thesis were computed using the .05 level of significance as a cut off point for rejecting the null hypothesis.

## CHAPTER IV

### RESULTS

Because the length of treatment was a primary variable identified in this research, whether clients were required to attend alcohol treatment by the court (n=180) or they attended voluntarily (n=140) was noted. Further, these two groups were compared on a variety of other measures within the project to determine essential differences.

A series of multiple regression analyses were used to compare voluntary and court referred clients along the following dimensions: number of sessions attended, clients' rate of responding in the hostile-subjective quadrant in initial sessions, therapists' rate of responding in the hostile-dominant quadrant in initial sessions, rates of noncompliance in initial sessions, score on the Current Adjustment Rating scale prior to treatment, score on the Kessler Alcohol Test prior to treatment, score on the Rogers and Spence Behavior Evaluation Form following treatment, score on the Rogers and Spence Behavior Evaluation Form specific to drinking following treatment, the difference between pre- and post-treatment scores on the Current Adjustment Rating scale, and the difference between pre- and post-treatment scores on the Kessler Alcohol Test. These analyses are presented in Tables 1 through 10.

Only one of these statistical comparisons found significant differences between voluntary clients and clients required to attend treatment by the court. Voluntary clients tended to report greater alcohol consumption on the Kessler Alcohol Test prior to treatment (none signi-

TABLE 1

ANALYSIS OF VARIANCE FOR THE REGRESSION FOR  
VOLUNTARY VERSUS COURT REFERRED CASES ACCORDING TO  
LENGTH OF TREATMENT

(n=84)

Source of Variation	Degrees of Freedom	Sum of Squares	Mean Square	F	F(1) Probability
Regression	1	4.14485	4.14485	75.11	0.0000
Residuals	22	124.854	5.67519		
Total	23	128.999			

TABLE 2

ANALYSIS OF VARIANCE FOR THE REGRESSION FOR  
VOLUNTARY VERSUS COURT REFERRED CASES ACCORDING TO THE  
RATE OF CLIENT MONTHLY SUPERVISION IN CRITIAL SESSIONS

(n=80)

Source of Variation	Degrees of Freedom	Sum of Squares	Mean Square	F	F(1) Probability
Regression	1	48.82-08	48.82-08	37.62	0.0000
Residuals	22	109.620	4.98273		
Total	23	158.440			

TABLE 3

ANALYSIS OF VARIANCE FOR THE REGRESSION FOR  
VOLUNTARY VERDICT COURT REFERRED CASES ACCORDING TO THE  
RATE OF CONVICTION HOSTILE BENCHWARE IN INITIAL DECISIONS

(n=24)

Source of Variation	Degrees of Freedom	Sum of Squares	Mean Square	F	Tail Probability
Regression	1	29438.8	29438.8	1.040	0.3072
Residuals	22	647622	29436.1		
Total	23	677060			

TABLE 4

ANALYSIS OF VARIANCE FOR THE REGRESSION FOR  
VOLUNTARY VERDICT COURT REFERRED CASES ACCORDING TO THE  
RATE OF COMPLIMENTABILITY IN INITIAL DECISIONS

(n=24)

Source of Variation	Degrees of Freedom	Sum of Squares	Mean Square	F	Tail Probability
Regression	1	115.744	115.044	0.028	0.8698
Residuals	22	3987.8	181.27		
Total	23	4103.6			

TABLE 5

ANALYSIS OF VARIANCE FOR THE REGRESSION FOR VOLUNTARY  
VERDICT COURT REFERRED CASES ACCORDING TO PRE-TREATMENT  
SCORE ON THE CURRENT ADJUSTMENT RATING SCALE

(n=24)

Source of Variation	Degrees of Freedom	Sum of Squares	Mean Square	F	Tail Probability
Regression	1	64.8639	64.8639	.07326	0.7826
Residuals	22	18026.8	819.39		
Total	23	18091.8			

TABLE 6

ANALYSIS OF VARIANCE FOR THE REGRESSION FOR  
VOLUNTARY HOURS COURT REPORTER CASES ACCORDING TO  
PRE-TREATMENT SCORES ON THE CHAVART ALCOHOL TEST

(n=34)

Source of Variation	Degrees of Freedom	Sum of Squares	Mean Square	F	Tail Probability
Regression	1	4733.38	4733.38	8.130	0.0073
Residuals	32	11826.5	370.83		
Total	33	16559.8			

TABLE 7

ANALYSIS OF VARIANCE FOR THE REGRESSION FOR  
VOLUNTARY HOURS COURT REPORTER CASES ACCORDING TO  
SCORES ON THE RAGGIO AND SPINNO OUTCOME EVALUATION FORM

(n=34)

Source of Variation	Degrees of Freedom	Sum of Squares	Mean Square	F	Tail Probability
Regression	1	91.3440	91.3440	2.776	0.1040
Residuals	32	107.433	3.3573		
Total	33	198.776			

TABLE 8

ANALYSIS OF VARIANCE FOR THE REGRESSION FOR  
VOLUNTARY HOURS COURT REPORTER CASES ACCORDING TO  
SCORES ON THE RAGGIO AND SPINNO OUTCOME EVALUATION FORM  
SPECIFIC TO Q4(N=34)

(n=34)

Source of Variation	Degrees of Freedom	Sum of Squares	Mean Square	F	Tail Probability
Regression	1	47914.02	47914.02	144.64	0.0000
Residuals	32	48.2871	1.5090		
Total	33	48.7663			



TABLE 9

ANALYSIS OF VARIANCE FOR THE REGRESSION FOR VOLUNTARY  
 PERSONS: COURT REPARATED CASES ACCORDING TO THE DIFFERENCE  
 BETWEEN PRE- AND POST-TREATMENT SCORES ON THE CRIMINAL  
 ADJUSTMENT RATING SCALE

(n=34)

Source of Variation	Degrees of Freedom	Sum of Squares	Mean Square	F	Tail Probability
Regression	1	408.888	408.888	1.428	0.2387
Residuals	32	9792.10	306.003		
Total	33	10200.98			

TABLE 10

ANALYSIS OF VARIANCE FOR THE REGRESSION FOR VOLUNTARY  
 PERSON: COURT REPARATED CASES ACCORDING TO THE DIFFERENCE  
 BETWEEN PRE- AND POST-TREATMENT SCORES ON THE  
 CRIMINAL ADJUSTMENT TEST

(n=34)

Source of Variation	Degrees of Freedom	Sum of Squares	Mean Square	F	Tail Probability
Regression	1	524.878	524.878	3.580	0.0677
Residuals	32	10844.1	338.880		
Total	33	11368.98			

to 18 286) than court referred clients (mean equal to 22 400). All other comparisons did not find the groups to be significantly separate. Consequently, all additional statistical analyses are based on all over all sample containing both voluntary and court referred cases.

### Intraclass Correlation Analysis

Repeated Measures Analysis of Variance were used to test for overall differences in the rates of responding to each survey question during sessions for both clients and counselors. Individual pilot file comparisons were conducted using the Scheffé method of multiple comparisons between cases.

Table 11 shows the analysis for the first experimental hypothesis which revealed a significant overall effect ( $F(3,88) = 34.09, p < .00001$ ). Post hoc analysis of the predicted sample differences according to this hypothesis (that clients in initial sessions of standard treatment would display predominantly hostile substantive operations) revealed a value with 3 degrees of freedom of 18.085 ( $p < .01$ ). Table 12 displays additional single comparisons using a critical value of  $t$  with 3 and 22 degrees of freedom of 3.08 for  $p < .05$  and 3.08 for  $p < .01$ .

TABLE VI  
ANALYSIS OF VARIANCE FOR CLIENTS' RESPONSES  
FOR QUADRANT IN INITIAL SESSIONS  
(n=24)

Source	Sum of Squares	Degrees of Freedom	Mean Square	F	Fair Probability
Quadrant	1088000	3	362666	28.44	0.0000
Error	1568120	82	19123		
Total	2656120	85			

TOTAL 10

MEAN RATES OF RESPONSES FOR QUADRANT FOR  
CLIENTS IN INITIAL SESSIONS  
(n=24)

Quadrant	Mean	Standard Deviation
Hostile Command	77.50	82.80540
Hostile Submissive	607.4	742.8750
Affiliative Command	351.8	722.1360
Affiliative Submissive	388.8	722.8740

TOTAL 10

INDIVIDUAL COMPARISONS FOR QUADRANT FOR CLIENTS'  
RESPONSES DURING INITIAL SESSIONS  
(n=24)

Comparison	t-value	Fair Probability
Hostile Command-Hostile Submissive	-18.24 (-.00)	.01
Hostile Command-Affiliative Command	-3.460	0.00
Hostile Command-Affiliative Submissive	-28.878	.01
Hostile Submissive-Affiliative Command	577.488	.01
Hostile Submissive-Affiliative Submissive	23.886	.01
Affiliative Command-Affiliative Submissive	-4.358	0.00

Table 14 shows the analysis for the second experimental hypothesis which also revealed a significant overall effect of  $F(3,90) = 104.5$ ,  $p < .0000$ . Post hoc analysis of the protected single difference according to this hypothesis (that consumers in initial sessions of alcohol treatment would display predominantly hostile dominant operations) revealed a  $t$  value with 3 degrees of freedom of 101.808 ( $p < .00$ ). Table 14 displays additional single comparisons using the same critical values for  $t$  as were used in the analysis for client means.

TABLE 14  
ANALYSIS OF VARIANCE FOR CONSUMERS' RESPONSES  
PER QUADRANT IN INITIAL SESSIONS  
( $n=91$ )

Source	Sum of Squares	Degrees of Freedom	Mean Square	F	tail probability
Quadrant	4384031	3	1461344	104.5	0.0000
Error	7005840	88	79612		
Total	8409871	91			

TABLE 15  
MEAN RATES OF RESPONSES PER QUADRANT FOR  
CONSUMERS IN INITIAL SESSIONS  
( $n=91$ )

Quadrant	Mean	Standard Deviation
Hostile Dominant	596.2	145.7113
Hostile Submissive	106.17	45.81167
Affiliative Dominant	339.3	125.8439
Affiliative Submissive	100.1	59.87609

TABLE 16  
INDIVIDUAL COMPARISONS FOR QUADRANT FOR  
COUNSELORS' RESPONSES DURING INITIAL SESSIONS  
(*n*=24)

Comparison	t-value	Two- Tailed Probability
Hostile-Superior-Hostile-Submissive	238.379	.00
Hostile-Superior-Affiliative-Superior	142.742	.00
Hostile-Superior-Affiliative-Submissive	203.750	.00
Hostile-Submissive-Affiliative-Superior	-65.750	.00
Hostile-Submissive-Affiliative-Submissive	-15.844	.00
Affiliative-Superior-Affiliative-Submissive	5.763	0.1

Similar statistical analyses were used to examine client and counselor behavior in middle and final sessions. (See Tables 12-26.5) Because of early termination in 11 of the treatment cases only 13 treatment cases were used for these analyses. All of the treatment dyads who were involved in middle sessions were also involved in final sessions. No predictions were made regarding a single difference among the groups within each analysis as only individual comparisons were conducted using critical values of *t* with 3 and 13 degrees of freedom of 16.67 for  $p < .05$  and 17.83 for  $p < .01$ .

TABLE 17  
ANALYSIS OF VARIANCE FOR CLIENTS' RESPONSES  
FOR QUADRANT IN MIDDLE SESSIONS  
(*n*=13)

Source	Sum of Squares	Degrees of Freedom	Mean Square	F	Two- Tailed Probability
Quadrant	.88189_3	3	1.93700	4.546	0.0006
Error	.889665_2	48	.18535		
Total	1.07655	51			

TABLE 18  
MEAN RATES OF RESPONDING PER QUADRANT  
FOR CLIENTS IN ABUSE MODALS  
(n=12)

Quadrant	Mean	Standard Deviation
Resistive-Dominant	774.5	103.6281
Resistive-Subservient	887.5	777.3878
APPTivative-Dominant	858.5	180.8845
APPTivative-Subservient	875.5	778.0004

TABLE 19  
INDIVIDUAL COMPARISONS PER QUADRANT FOR  
CLIENTS' RESPONSES DURING POST-TEST MODALS  
(n=12)

Comparison	t-value	Two-Tailed Probability
Resistive-Dominant-Resistive-Subservient	-0.001	.99
Resistive-Dominant-APPTivative-Dominant	-7.094	.0001
Resistive-Dominant-APPTivative-Subservient	0.879	.79
Resistive-Subservient-APPTivative-Dominant	3.158	.005
Resistive-Subservient-APPTivative-Subservient	0.008	.99
APPTivative-Dominant-APPTivative-Subservient	0.879	.79

TABLE 20  
ANALYSIS OF VARIANCE FOR COURSEWORK RESPONSES  
PER QUADRANT IN POST-TEST MODALS  
(n=12)

Source	Sum of Squares	Degrees of Freedom	Mean Square	F	Two-Tailed Probability
Quadrant	142873.8	3	47624.6	33.63	.0000
Error	77358.3	48	1611.6		
Total	220232.1	51			

TABLE 21  
MEAN RATES OF RESPONDING PER QUADRANT FOR  
COMBOLANS IN MIDDLE SESSIONS  
(n=121)

Quadrant	Mean	Standard Deviation
Hostile-Compliant	510.5	156.4791
Hostile-Submissive	26.48	45.17634
Affiliative-Compliant	704.65	171.5277
Affiliative-Submissive	156.1	67.45749

TABLE 22  
INDIVIDUAL COMPARISONS PER QUADRANT FOR  
COMBOLANS' RESPONSES DURING MIDDLE SESSIONS  
(n=120)

Comparison	t value	Two- Tailed Probability
Hostile-Compliant-Hostile-Submissive	90.494	<.01
Hostile-Compliant-Affiliative-Compliant	17.1227	<.05
Hostile-Compliant-Affiliative-Submissive	90.497	<.01
Hostile-Submissive-Affiliative-Compliant	-39.834	<.01
Hostile-Submissive-Affiliative-Submissive	-5.877	9.8
Affiliative-Compliant-Affiliative-Submissive	8.877	9.5

TABLE 23  
ANALYSIS OF VARIANCE FOR CLIENTS' RESPONDING  
PER QUADRANT IN FINAL SESSIONS  
(n=73)

Source	Sum of Squares	Degrees of Freedom	Mean Square	F	Two- Tailed Probability
Quadrant	617189.8	3	138700	8.235	0.0002
Error	62366.8	48	1299		
Total	1234856.6	51			

TABLE 24  
MEAN RATES OF RESPONDING PER QUADRANT FOR  
CLIENTS IN FINAL DECISIONS

(n=133)

Quadrant	Mean	Standard Deviation
Positive Dominant	128.4	71.82494
Positive Submissive	285.7	108.5236
Off/Initiative Dominant	268.8	121.8833
Off/Initiative Submissive	257.8	118.5488

TABLE 25  
INDIVIDUAL COMPARISONS PER QUADRANT FOR  
CLIENTS' RESPONSES DURING FINAL DECISIONS

(n=133)

Comparison	t value	Tail Probability
Positive Dominant-Positive Submissive	-12.342	<.01
Positive Dominant-Off/Initiative Dominant	-13.007	<.01
Positive Dominant-Off/Initiative Submissive	- 8.845	<.01
Positive Submissive-Off/Initiative Dominant	- 7.444	<.01
Positive Submissive-Off/Initiative Submissive	8.070	<.01
Off/Initiative Dominant-Off/Initiative Submissive	3.344	<.01

TABLE 26  
ANALYSIS OF VARIANCE FOR INDIVIDUALS' RESPONDING  
PER QUADRANT IN FINAL DECISIONS

(n=133)

Source	Sum of Squares	Degrees of Freedom	Mean Square	F	Tail Probability
Between	13344.48	3	4448.16	10.83	<.0001
Error	584495.7	44	13284		
Total	597840.2	47			



TABLE 27  
MEAN RATES OF RESPONDING FOR QUADRANT FOR  
COUNSELLOR IN FINAL SESSIONS  
(n=120)

Quadrant	Mean	Standard Deviation
Hostile Coercive	403.4	166.7660
Hostile Submissive	27.00	30.4667
Affiliative Coercive	206.8	171.4236
Affiliative Submissive	208.1	94.60370

TABLE 28  
INITIAL COMPARISONS FOR QUADRANT FOR COUNSELLOR/  
RESPONDERS DURING FINAL SESSIONS  
(n=120)

Comparison	t value	Tail Probability
Hostile Coercive-Hostile Submissive	68.809	.01
Hostile Coercive-Affiliative Coercive	11.433	.06
Hostile Coercive-Affiliative Submissive	16.837	.01
Hostile Submissive-Affiliative Coercive	-45.844	.01
Hostile Submissive-Affiliative Submissive	-11.430	.06
Affiliative Coercive-Affiliative Submissive	2.207	8.3

One clear conclusion from the analysis of counselor behavior in the initial and final sessions is that the counselors in this project continued to present predominantly hostile-coercive operations throughout all six sessions. Clients, on the other hand, were more flexible in their interpersonal behaviors as treatment progressed. Although they tended to present primarily hostile-submissive behaviors in initial sessions, by the sixth session they were presenting more affiliative-coercive operations than hostile-submissive operations. This latter finding was not

significant but clearly suggests greater intersession flexibility on the part of clients in treatment as compared to assessment.

### Complementarity

A Repeated Measures Analysis of Variance was used to test for over-all differences in the rates of complementarity across sessions for treatment clients who continued through the middle and final sessions ( $n=11$ ) (see Table 28). This analysis revealed a significant overall effect of  $F(2,20) = 3.408$ ,  $p < .05$ . Individual post hoc comparisons were made using the Scheffe method with critical  $t$  with 2 and 12 degrees of freedom of 2.26 for  $p = .05$  and 3.08 (see Table 31).

TABLE 28  
ANALYSIS OF VARIANCE FOR RATES OF  
COMPLEMENTARITY ACROSS SESSIONS  
( $n=11$ )

Source	Sum of Squares	Degrees of Freedom	Mean Square	F	Tail Probability
Session	2344.531	2	1172.265	6.494	0.0076
Error	6885.278	20	344.264		
Total	9229.809	22			

TABLE 29  
MEAN RATES OF COMPLEMENTARITY ACROSS SESSIONS

Session	Mean	Standard Deviation
Initial	12.455	10.8808
Middle	10.323	10.8524
Final	8.364	15.0047

TABLE 31  
 INDIVIDUAL COMPARISONS FOR SESSIONS FOR  
 RATES OF COMPLIMENTARY  
 (n=12)

Comparison	t value	Tail Probability
Initial - Middle	5.897	.008
Initial - Final	5.178	N.S.
Middle - Final	5.145	N.S.

These results suggest that the rate of complementarity tended to be highest in initial sessions, then decrease in the middle phase of treatment, and continue at a stable rate through the final phase of treatment.

The third experimental hypothesis (that client attendance rates will be related to rates of complementarity) was tested by examining the correlation of the Complementarity Index to the initial session and the number of sessions attended by the client. The mean number of sessions attended was 3.1983. This correlation was  $R = .6061$  with a corresponding t value with 23 degrees of freedom of 3.81 ( $p < .0005$ ) based on a one-tailed test. This finding indicates that clients in counselor-client dyads with higher rates of complementarity during initial sessions tended to stay in treatment longer.

Similar correlational analyses were used to test the seventh experimental hypothesis (that improvement in drinking behavior will be related to rates of complementarity during sessions). Because the analysis using all 28 dyads would be confounded by the strong relationship between

the number of sessions attended and the rate of complementarity during the initial session and the strong relationship between the number of sessions attended and drinking outcome (to be described later in this section of the thesis). This analysis was based on the 13 treatment cases that continued through the initial and final sessions. The correlation between the sum of the Complementarity Index across sessions and improvement in drinking behavior according to counselor ratings on the Rogers and Green Guttmach Evaluation Form was  $R = -.8009$  with a corresponding  $t$  value with 12 degrees of freedom of 2.13 ( $p < .025$  based on a one-tailed test). The correlation between the sum of the Complementarity Index across sessions and improvement in drinking behavior according to pre- and post-treatment differences in client ratings on the Stevens Alcohol test was  $R = 0.84$  with a corresponding  $t$  value with 12 degrees of freedom of 2.029 ( $p < .0488$  based on a one-tailed test). Clients in counselor-client dyads with higher rates of complementarity through six treatment sessions tended to have better drinking outcomes in the expressed judgment of counselors but not in the expressed judgments of the clients themselves.

#### Client Interpersonal Behavior During Sessions and Outcomes

The rate of client hostile-submissive behavior in initial sessions was positively and significantly related to the number of sessions attended ( $n = 24$ ,  $R = .39789$ ,  $t$  with 23 degrees of freedom = 4.762,  $p < .0001$  based on a one-tailed test). In addition, the rate of submissive behavior in general (both hostile and affiliative) by clients during initial sessions was positively and significantly related to the number

of sessions attended ( $n = 24$ ,  $R = -.84575$ ,  $t$  with 23 degrees of freedom = 3.865,  $p = .0005$  based on a one-tailed test). In similar fashion, the rate of defiant behavior is generally by clients during initial sessions was negatively and significantly related to the number of sessions attended ( $n = 24$ ,  $R = -.43211$ ,  $t$  with 23 degrees of freedom = 2.1888,  $p = .0374$  based on a one-tailed test).

In order to test the sixth and eighth experimental hypotheses, only those 15 treatment cases in which clients attended through the middle and final sessions were evaluated because the comparisons involve behavioral changes from session 10 to session 15.

The sixth experimental hypothesis (that the range of alcohol abusers' interpersonal operations during treatment will be related to improvement in general adjustment) was examined via correlational analyses for both changes in general adjustment according to the judgment of the counselor and according to the judgment of the client. The range of client interpersonal behavior was positively and significantly related to an increase in general adjustment according to counselor ratings of the Rogers and Spence Behavior Evaluation Form ( $n = 15$ ,  $R = .42038$ ,  $t$  with 12 degrees of freedom = 2.528,  $p = .0117$  based on a one-tailed test). On the other hand, the range of client interpersonal behavior was positively but not significantly related to an increase in general adjustment according to the difference between pre- and post-treatment ratings on the Current Adjustment Rating Scale ( $n = 15$ ,  $R = .33386$ ,  $t$  with 12 degrees of freedom = 1.7408,  $p = .04425$  based on a one-tailed test).

One further finding in regard to the range of client interpersonal behavior during treatment is that clients who demonstrated a greater

range of interpersonal operations during treatment tended to report more positive general adjustment prior to treatment according to pre-treatment ratings on the Current Adjustment Rating Scale ( $r = .71$ ,  $k = .48341$ ,  $t$  with 12 degrees of freedom = 3.4085,  $p = .0418$  based on a one-tailed test).

The eighth experimental hypothesis (that the change in alcohol abusers' interpersonal operations during treatment will be related to improvement in general adjustment) was examined in similar fashion. These correlations' analyses did not provide significant results. The correlation between the change in client interpersonal behavior and the difference between client pre- and post-treatment ratings on the Current Adjustment Rating Scale was  $k = .1369$  ( $r = .12$ ,  $t$  with 12 degrees of freedom = 2.4832,  $p = .0271$  based on a one-tailed test). The correlation between the change in client interpersonal behavior and counselor ratings on the Rogers and Syme Outcome Correlation Form was  $k = .2643$  ( $r = .12$ ,  $t$  with 12 degrees of freedom = 1.268,  $p = .1175$  based on a one-tailed test).

### Counselor Interpersonal Behavior During Treatment and Outcome

The results of this research strongly suggest that within the treatment cross examined, counselor interpersonal behavior was not as powerful a variable in determining the outcome of treatment when compared to the impact of client interpersonal behavior.

The rate of hostile/defensive behavior by counselors in initial sessions was not significantly related to the number of sessions aligned by the client ( $k = .04$ ,  $k = .15288$ ,  $t$  with 12 degrees of freedom = 0.7607,  $p = .2504$  based on a one-tailed test).

In treatment cases in which the client continued to attend through the middle and final sessions, the change in counselor interpersonal behavior was not significantly related to an increase in general adjustment either according to counselor ratings on the Rogers and Lyman Outcome Evaluation Form ( $n = 13$ ,  $R = .1463$ ,  $t$  with 12 degrees of freedom = 0.4904,  $p < .3987$  based on a one-tailed test) or according to differences in client pre- and post-treatment ratings on the Current Adjustment Rating Scale ( $n = 13$ ,  $R = -.3810$ ,  $t$  with 12 degrees of freedom = 0.2726,  $p < .3987$  based on a one-tailed test).

Similarly, change in counselor interpersonal operations through treatment in cases in which the client attended through the middle and final sessions was not significantly related to an increase in general adjustment according to counselor ratings on the Rogers and Lyman Outcome Evaluation Form ( $n = 13$ ,  $R = -.4579$ ,  $t$  with 12 degrees of freedom = 1.4926,  $p < .0885$  based on a one-tailed test) or according to differences in client pre- and post-treatment ratings on the Current Adjustment Rating Scale ( $n = 13$ ,  $R = -.3878$ ,  $t$  with 12 degrees of freedom = 0.4158,  $p < .3985$  based on a one-tailed test). It should be noted that the relationship between change in counselor behavior and counselor rating of treatment outcome is strong but not significant.

The third experimental hypothesis (that the rate of affiliative directed behavior by counselors in treatment sessions will be related to the increase in general adjustment by the client) was tested by correlating the rate of affiliative directed behavior by counselors across sessions in treatment cases in which clients attended through the middle and final sessions with counselor ratings of treatment outcome on the Rogers and Lyman Outcome Evaluation Form ( $n = 13$ ,  $R = .2285$ ,  $t$  with

12 degrees of freedom = 0.7677,  $p = .00065$  based on a one-tailed test) and with the difference between client pre- and post-treatment ratings on the Current Adjustment Rating Scale ( $n = 10$ ,  $R = .74115$ ,  $t$  with 12 degrees of freedom = 0.4339,  $p = .3028$  based on a one-tailed test). Neither of these analyses revealed significant effects.

The fifth experimental hypothesis (that the rate of demand behavior, both hostile and affiliative, by counselors in treatment sessions will be related to a decrease in drinking) was tested by correlating the rate of demand behavior by counselors across sessions in treatment cases in which clients attended through the intake and final sessions with counselor ratings of drinking outcome on the Rogers and Dryden Outcome Evaluation Form ( $n = 15$ ,  $R = .06719$ ,  $t$  with 12 degrees of freedom = 0.0026,  $p = .4908$  based on a one-tailed test) and with the difference between client pre- and post-treatment ratings on the Revised Alcohol Test ( $n = 10$ ,  $R = -.04004$ ,  $t$  with 12 degrees of freedom = 0.0339,  $p = .4884$  based on a one-tailed test). Neither of these analyses revealed significant effects.

#### Outcome Measures

The sixth experimental hypothesis (that indicators of improvement in client drinking behavior will be related to indicators of improvement in client general adjustment) was tested via a series of correlational analyses. Increases in the difference between client pre- and post-treatment ratings on the Revised Alcohol Test were positively but not significantly related to both increases in the difference between client pre- and post-treatment ratings on the Current Adjustment Rating Scale ( $n = 24$ ,  $R = .26416$ ,  $t$  with 23 degrees of freedom = 1.0602,  $p = .0858$



based on a one-tailed test) and counselor ratings of treatment outcome on the Rogers and Lyman Outcome Evaluation Form ( $n = 24$ ,  $R = .79436$ ,  $t$  with 23 degrees of freedom = 0.8285,  $p < .1007$  based on a one-tailed test). Counselor ratings of drinking outcome on the Rogers and Lyman Outcome Evaluation Form were positively and significantly related to both increases in the difference between client pre- and post-treatment ratings on the Current Adjustment Rating Scale ( $n = 24$ ,  $R = .56587$ ,  $t$  with 23 degrees of freedom = 2.3479,  $p < .02684$  based on a one-tailed test) and counselor ratings of treatment outcome on the Rogers and Lyman Outcome Evaluation Form ( $n = 24$ ,  $R = .76444$ ,  $t$  with 23 degrees of freedom = 4.4887,  $p < .00088$  based on a one-tailed test). These results indicate that, according to counselor ratings, improvement in drinking behavior was related to improvement in general adjustment according to both counselor and client ratings. At the same time, these relationships were not found for client ratings of improvement in drinking behavior.

In order to see if counselors and clients were making similar judgments regarding improvement in general adjustment and improvement in drinking behavior, counselor and client ratings on these dimensions were correlated. Counselor ratings of treatment outcome on the Rogers and Lyman Outcome Evaluation Form were positively and significantly related to differences in client pre- and post-treatment ratings on the Current Adjustment Rating Scale ( $n = 24$ ,  $R = .48861$ ,  $t$  with 23 degrees of freedom = 2.3142,  $p < .02338$  based on a one-tailed test). Counselor ratings of improvement in drinking behavior on the Rogers and Lyman Outcome Evaluation Form were positively and significantly related to increases in the difference between client pre- and post-treatment

ratings on the Rogers Alcohol Test is  $r = .24$ ,  $t = .8879$ ,  $t$  with 23 degrees of freedom = 4.5788,  $p < .00005$  based on a one-tailed test). The findings from these analyses indicate that clients and counselors were making similar judgments regarding treatment outcome in terms of both improvement in drinking behavior and improvement in general adjustment.

One powerful finding in this research that was alluded to earlier in this section of the thesis is the relationship between length of treatment and outcome. All four of the indicators of treatment outcome were positively and significantly related to the number of sessions that the client attended. The correlation between number of sessions attended and increases in the difference in between client pre- and post-treatment ratings on the Current Adjustment Rating Scale was  $R = .2857$  ( $t = .33$ ,  $t$  with 23 degrees of freedom = 1.583,  $p < .0025$  based on a one-tailed test). The correlation between number of sessions attended and counselor ratings of treatment success on the Rogers and Spence Outcome Evaluation Form was  $R = .3189$  ( $t = .34$ ,  $t$  with 23 degrees of freedom = 1.685,  $p < .0002$  based on a one-tailed test). The correlation between number of sessions attended and increases in the difference between client pre- and post-treatment ratings on the Rogers Alcohol Test was  $R = .4076$  ( $t = .34$ ,  $t$  with 23 degrees of freedom = 2.264,  $p < .0026$  based on a one-tailed test). The correlation between number of sessions attended and counselor ratings of improvement in drinking behavior on the Rogers and Spence Outcome Evaluation Form was  $R = .4600$  ( $t = .34$ ,  $t$  with 23 degrees of freedom = 4.4076,  $p < .0001$  based on a one-tailed test). Length of treatment was strongly related to improvement in both drinking behavior and general adjustment.

There is evidence to suggest that this effect occurs throughout levels of severity of the initial problem. Number of sessions attended was not significantly related to client pre-treatment ratings on the Current Adjustment Rating Scale ( $n = 24$ ,  $R = .11408$ ,  $t$  with 23 degrees of freedom = 0.8883,  $p = .3867$  based on a two-tailed test) or client pre-treatment ratings on the Shorter Alcohol Test ( $n = 24$ ,  $R = .22048$ ,  $t$  with 23 degrees of freedom = 1.0839,  $p = .2934$  based on a two-tailed test). These results suggest that severity of the presenting problem, either in terms of alcohol consumption or in terms of general adjustment, did not tend to alter the length of treatment.

## CHAPTER V

### DISCUSSION

The results of this project support the following specific objectives in light of the general hypotheses based on the therapist-client dyads studied in this research:

1. In initial interactions with therapists in treatment, alcohol abusers tended to present primarily hostile dependent interpersonal orientations.
2. In initial interactions with alcohol abusers in treatment, therapists tended to present primarily hostile dependent interpersonal orientations.
3. Therapist-client dyads in alcohol abuse treatment with higher rates of initial similarity in the initial phase of treatment tended to have higher attendance than therapist-client dyads with lower rates of interpersonal complementarity in the initial phase of treatment.
4. In therapist-client dyads in which the client continued to attend beyond the initial phase of treatment, alcohol abusers interacting with therapists demonstrating more affiliative dependent behaviors did not tend to show more improvement in general adjustment than alcohol abusers interacting with therapists demonstrating less affiliative dependent behaviors.
5. In therapist-client dyads in which the client continued to attend beyond the initial phase of treatment, alcohol abusers interacting with therapists demonstrating more dominant behaviors did not tend to show more improvement in drinking behavior than alcohol abusers interacting with therapists demonstrating less dominant behaviors.
6. In therapist-client dyads in which the client continued to attend beyond the initial phase of treatment, alcohol abusers demonstrating more rigid interpersonal behavior during treatment tended to show less improvement according to therapist ratings in general adjustment than alcohol abusers demonstrating more flexible interpersonal behavior during treatment. This effect was not found according to client ratings.

7. In therapist-client dyads in which the client continued to attend beyond the initial phase of treatment, alcohol abusers in dyads with higher rates of interpersonal complementarity demonstrated greater improvement in drinking behavior as the expressed judgment of therapists. This effect was not found according to client ratings.
8. In therapist-client dyads in which the client continued to attend beyond the initial phase of treatment, alcohol abusers who demonstrated greater changes in interpersonal operations within treatment interactions did not show greater improvement in general adjustment according to both client and therapist ratings.
9. Clients' improvement in drinking behavior according to the judgment of clients was positively but not significantly related to both client and therapist ratings of client improvement in general adjustment. Client improvement in drinking behavior according to the judgment of therapists was positively and significantly related to both client and therapist ratings of client improvement in general adjustment.

The results of this project support the following synthesized conclusions:

1. In terms of the variables assessed within this research project, alcohol abusers who entered treatment on a voluntary basis did not tend to behave differently within treatment nor did they tend to demonstrate different responses to treatment when compared to alcohol abusers who entered treatment on the basis of an order from the court.
2. As treatment progressed through the initial, middle, and final phases, therapists tended to maintain a rigid interpersonal presentation based on positive outcome operations. Alcohol abusers in treatment, on the other hand, tended to become more flexible in their interpersonal operations as treatment progressed.
3. In therapist-client dyads in which the client continued to attend beyond the initial phase of treatment, the rate of interpersonal complementarity tended to be highest in the initial phase of treatment then decrease and stabilize at a stable rate through the middle and final phases.
4. Clients who demonstrated greater rates of both healthy submissive behavior and submissive behavior in general in the initial phase of treatment were more likely to stay in treatment longer.

3. In therapist-client dyads in which the client continued to attend beyond the initial phase of treatment, alcohol abusers who reported more positive general adjustment prior to treatment tended to demonstrate a greater range of interpersonal operations at first treatment when compared with alcohol abusers who reported less positive general adjustment prior to treatment.
4. Therapists who demonstrated a greater rate of hostile dominant behavior in the initial phase of treatment were not more likely to be involved in treatments that lasted longer than therapists who demonstrated a lower rate of hostile dominant behavior in the initial phase of treatment.
5. In therapist-client dyads in which the client continued to attend beyond the initial phase of treatment, the range of therapist interpersonal behavior was not related to therapist ratings of client ratings of increases in general adjustment.
6. In therapist-client dyads in which the client continued to attend beyond the initial phase of treatment, therapists who demonstrated greater changes in interpersonal operations during treatment did not tend to be involved with clients who demonstrated greater increases in general adjustment when compared with therapists who demonstrated less changes in interpersonal operations during treatment.
7. Therapists and clients tended to make similar judgments regarding treatment outcome in terms of both improvement in drinking behavior and improvement in general adjustment.
8. Regardless of the severity of the presenting problem either in terms of drinking behavior or general adjustment, the length of treatment was positively related to treatment outcome according to all four measures of outcome used in this research: therapist ratings of improvement in drinking behavior, therapist ratings of improvement in general adjustment, client ratings of improvement in drinking behavior, and client ratings of improvement in general adjustment.

The findings of this research project are in strong support of the notion that an understanding of the interpersonal dynamics of the treatment of alcohol abuse can be integral to such interventions.

### Client Interpersonal Behavior in Treatment

Clients tended to present interpersonal behaviors, particularly in the initial phase of treatment, that are highly consistent with the personality characteristics of alcohol abusers supported by research and theory reviewed in a previous section of this thesis. These hostile and submissive qualities suggest that alcohol abusers do suffer from dependency conflicts which they tend to bring to treatment. Further, as anticipated on the basis of the models of interpersonal reciprocity, clients tended to elicit complementary interpersonal reflexes from therapists. These kinds of reflex behaviors exhibited by therapists encountering alcohol abusers in treatment suggest that they are responding on the basis of issues surrounding dependency in clients.

Clients who presented greater rates of hostile submissive behavior tended to stay in treatment longer. This finding suggests that the ones comfortable and expressive the alcohol abuser is with these behaviors, the more likely it is that he or she will stay in treatment and, consequently, benefit from treatment. In this sense, the task of the therapist in the initial phase of treatment is to create an environment in which the client is most likely to behave in hostile-submissive ways.

Clients tended to modify their interpersonal behavior as treatment progressed. This finding suggests that alcohol abusers in treatment as a group, regardless of treatment outcome, tend to behave in less rigid ways as treatment proceeds.

### Operator Interpersonal Behavior in Treatment

The predictions of reciprocal interpersonal behavior based on both the models of interpersonal reciprocity and the research on the expressed

attitudes of alcohol treatment personnel were confirmed. Therapists tended to present primarily hostile dominant behavior throughout all phases of treatment reviewed. They were more rigid in their interpersonal presentation than clients. This raises a variety of issues.

One issue that this finding raises is the possibility that therapists do not modify their interpersonal presentation until later in treatment. Perhaps the behavior exhibited by therapists in the first six sessions, as examined in this research, is part of an induction period in which clients experiment with their behavior but therapists do not. This possibility occurs with the analysis of the treatment of dependent clients originally proposed by Injery (1980) and supported by later research. Within this analysis, the purpose of the therapist is to meet the dependency needs of the clients, particularly in the initial phase of treatment, in order to build a stable therapeutic relationship. The therapist can eventually relinquish a semi-directive orientation when the client no longer requires this kind of support.

A second possibility raised by the finding that therapists continue to present predominantly hostile dominant operations is that they are not being responsive to the changes in interpersonal behavior presented by clients. In terms of interpersonal reciprocity, they may be behaving in a manner that contributes to the creation of an unstable treatment relationship that is not based on complementary and spontaneous interpersonal exchange.

This possibility has been addressed by Byrne and Proctor (1981) who referred to the oversynthesis of the 'motivational problem' as an expression of resistance in treatment personnel. In similar fashion, Papp, Kohn, Goodier, Goodier, and Wilson (1980) and Ginzler (1981)



have presented the notion that alcohol treatment personnel present dispositions toward alcohol abusers that are fundamentally rigid and negative. This analysis suggests that the rigid way in which therapists respond to alcohol abusers in treatment may be more a function of a general attitude than specific to the client at hand. Therapists may be reinforcing hostile submission in clients rather than breaking it. The task of whether the client can benefit from treatment may lie in his or her ability to benefit and prior to a hostile dominant disposition.

The finding that the range of counselor interpersonal behavior and the change in counselor interpersonal behavior did not have a significant overall effect on treatment outcome further supports the possibility that counselors were not responding to client changes in interpersonal behavior. What may have been witnessed is the lack of interpersonal flexibility in counselors rather than the effect of interpersonal flexibility in counselors.

There is evidence to suggest that alcohol treatment personnel are not aware of their rigidly hostile dominant presentation. Both Fagan et al. and Horne and Pittman referred to an 'unconscious conflict' in treatment personnel. In addition, Ross (1981) found that counselors in alcohol treatment report that they tend to present primarily affiliative dominant behaviors in treatment. That finding is based on the same dimensions as were used in the current research.

#### The dynamic interplay between clients and counselors

The results of this research support the viability of conceptualizing the process of alcohol treatment in terms of the interpersonal dynamics of the relationship between client and therapist. In a previous

position of this thesis the position of Folomov and Benjamin (1979) was presented that clinical diagnostic information could be presented in terms of interpersonal behavior. In conjunction with other authors, Folomov and Benjamin proposed that the more flexible an individual is, the more psychologically "healthy" the individual is. A powerful finding of the current research in this regard is that clients who reported more favorable general adjustment prior to treatment tended to display a greater range of interpersonal operations during treatment.

On the basis of the original position presented by Sullivan (1945) regarding the "complementary" and "interpenetrative" qualities of human interactions, Benjamin (1984) identified "compliments" and "affiliates" for patients' behavior in treatment. As mentioned previously, clinical counselors tended to present "compliments" to hostile-submissive behavior in clients but did not tend to present "affiliates" (i.e., affiliative-dominant behavior). Further, the rate of affiliative-dominant behavior in therapists did not tend to have a significant impact on the outcome of treatment. These findings may not be conclusive, however, due to the limited amount of affiliative-dominant behavior displayed by therapists within this research.

It appears that clients' clients and counselors in treatment tend to be involved in a highly complementary interpersonal system in the initial phase of treatment but that the level of complementary tends decrease as treatment progresses. This finding concurs with the research on complementarity presented by Watzlaw and Beavin (1975). Within the present research, it appears that the decrease in this rate is due almost exclusively to a change in interpersonal presentation by clients. It should be noted that Watzlaw and Beavin found that the rate of comple-

courtesy tended to increase following the middle phase of treatment. That research, however, was based on an analysis of nine treatment sessions; the current findings are based on an analysis of six sessions. Consequently, the middle phase of the research presented by Dattoli and Acker's corresponds roughly with the final stage of the current research. It is possible that the level of complementarity could increase following the sixth session.

The declines in complementarity may indicate that the participants in treatment are beginning to engage in a more meaningful interpersonal exchange. The initial phase of treatment can be described as a highly defined interaction providing for little behavioral experimentation. As complementarity decreases, clients and therapists can begin to interact in a more complex and flexible manner. This analysis concurs with the conception of the dynamic development of psychotherapeutic interventions proposed by Gendler (1992).

The finding that higher rates of complementarity in the initial phase of treatment was associated with an increase in the length of treatment supports the notion that therapeutic receptivity to the hostile and submissive qualities of alcohol abusers is related to treatment outcome. This is particularly important to note in light of the strong relationship between length of treatment and treatment outcome.

There is also evidence in the findings of the current research that higher rates of interpersonal complementarity are associated with greater improvement in drinking behavior. It may be the case that a comfortable and stable interchange between the therapist and the client makes it more likely that the client will modify his/her drinking behavior.

In terms of the interpersonal behavior displayed by clients, there is evidence to suggest that alcohol abusers who demonstrate more flexible interpersonal behavior during treatment may show more improvement in general adjustment as a function of treatment. There are mixed findings in this regard within the current research, however, as this effect was only found relative to therapists' ratings of improvement. Further, the rate of change in client interpersonal spontaneity within treatment was not associated with greater improvement in general adjustment. In summary, it appears that client experimentation with interpersonal behaviors did not show a direct relationship with improvement in general adjustment. Therapist experimentation with interpersonal behavior in terms of the range of behavior as well as the rate of change of behavior also did not show a direct relationship with client improvement in general adjustment. These findings do not support the notion that strategic manipulation of the dynamic interplay between clients and therapists in alcohol treatment will foster frequent success within the first six sessions.

It appears that the therapists in this research are responding to the initial presentation of clients in alcohol treatment but that they are not responding to the subtle changes in interpersonal behavior by clients as treatment proceeds. According to the theory and research reviewed in a previous section of this thesis regarding therapists' responsiveness to dependency and hostility in clients, therapists are responding to the problem but not to the solution. That is, by virtue of their interpersonal behavior, therapists are providing an environment in which clients are likely to behave in hostile and submissive ways but not likely to change this kind of presentation. On the other hand, it

appears that therapists are meeting the dependency needs of clients' abusers in treatment which may allow clients to feel secure enough to experiment with their interpersonal behavior.

One problem with the rigidly hostile dominant interpersonal behavior by alcohol counselors is that the effect of treatment may be short-lived. Once the support of the presence of a therapist is removed and the dependency needs are no longer met, the client may return to a previous level of functioning. The literature reviewed in a previous section of this thesis regarding the dynamic development of psychotherapeutic treatment supported the position that a distinction should be made between the potential short-term benefits of complementary behavior by therapists and the potential long-term benefits. If alcohol counselors continue to present predominantly hostile dominant interactions in treatment, clients may not gain the opportunity to develop a wider range of coping mechanisms in terms of interpersonal behavior.

One additional finding in light of therapist interpersonal behavior is that the rate of dominant behavior by alcohol counselors was not associated with client improvement in drinking behavior. This finding was not anticipated. Previous research has supported the position that more directive approaches are more effective than less directive approaches in the treatment of alcohol abuse. It is possible that the therapist behavior included in the current research did not provide enough variability along this dimension to accurately test this hypothesis. As mentioned previously, alcohol counselors as a group presented primarily hostile dominant behavior throughout all six sessions examined. Most of the previous research supporting this position was based on systematically comparing different styles of psychotherapy.

### Treatment Outcome

The most powerful finding is regard to the measures of treatment outcome is the strong relationship between length of treatment and all four measures of treatment outcome. The longer treatment lasted the more likely it was the clients demonstrated improvement in both drinking behavior and general adjustment. This finding provides further support for the contention that length of treatment is a viable outcome measure in research on the treatment of alcohol abuse. It is simple and direct but appears to have as much or more value than more sophisticated measures in measuring treatment outcome.

Paterson, Sobell, and Sobell (1987) have reviewed the literature on the relationship between drinking behavior and general adjustment. In their review of research on outcome of treatment of alcohol abuse, they concluded that there is a positive but not necessary relationship between improvement in drinking behavior and improvement in other areas. The findings of the current research support this position. Client assessment of drinking outcome was positively but not significantly associated with ratings of improvement in general adjustment. There was a positive and significant relationship between counselor assessment of drinking outcome and ratings of improvement in general adjustment. One conclusion to be gained from these findings is that self-reliance on drinking outcome may not be justice to the evaluation of the treatment of alcohol abuse. Other changes can be occurring that may eventually enable the alcohol abuser to suffer less from the effects of drinking and have a more favorable adjustment in other areas of functioning.

An encouraging finding in light of the validity of the measures used to assess outcomes in the current research is that therapist judgments and client judgments in terms of both drinking outcome and improvement in general adjustment were positively related. This finding supports the position presented by Arner, Feltz, and Stambel (1990) that alcohol abusers can and do accurately report their drinking behavior.

### Final Considerations

It should be noted that subject selection may have had an impact on the findings presented in this thesis. In a review of literature of patient retention in outpatient alcoholism treatment, Rosenberg, Gornels, Bender, and Liffik (1990) concluded that up to 80% of patients fail to return for a second visit and less than 20% return for more than four visits. Using these figures as a baseline, it may be the case that the clients who participated in the current research were either more motivated for treatment or adopted their approach to treatment while they were included in the project. Another possible bias in terms of subject selection was referred to by Garfield (1981) in a review of studies of patients in general psychotherapy who complete pre-treatment questionnaires. The author concluded that patients who consent to participate in these kinds of studies are more likely to continue treatment. An implication of this conclusion to the alcohol abusers who were more difficult to retain in treatment may not have participated in the study.

Another limitation of the current research is the short-term nature of the assessment of outcome. It may take more than six treatment sessions to see the full impact of the dynamic interplay between alcohol

clients and therapists in treatment. Further, it is possible that the benefits of treatment within the first six sessions may be short-lived. It has been well-documented in the field of Social Psychology that monitored subjects tend to increase performance regardless of the experimental manipulations applied.

The experimental model applied for the current research, if extended across more treatment sessions, would be able to assess several issues that were not resolved in this thesis. First, therapist (interpersonal) behavior in response to the increasing variability of client interpersonal behavior could be assessed. Second, the stability of client changes in interpersonal behavior as well as drinking behavior beyond the first six sessions could be evaluated. Third, client responses to changes in therapist interpersonal behavior could be assessed, that is, how clients behave in treatment and in their drinking and general adjustment when the security of a hostile distant therapist is removed.

A finding that adds credibility to the conclusions of this thesis is that the findings generalizing across voluntary and committed clients. The problem of client "drop out" appears to be similar for both groups. In addition, both groups of clients tended to display similar interpersonal behaviors in treatment and similar responses to treatment.

### General Conclusions

This research supports the position that alcohol abusers and counselors in treatment tend to participate in a rigidly defined and complementary interpersonal situation in initial interviews. Counselors tend to validate this mutually rigid presentation but clients tend to



after their interpersonal operations. The changes in client interpersonal behavior did not, however, have a significant effect on treatment outcome.

The role of client hostile-submissive behavior in initial interviews and the role of complementarity in initial interviews were positively related to the length of treatment. The length of treatment was positively related to all measures of treatment outcome. A conclusion is that clients in treatment for alcohol abuse who are reserved in an environment that encourages the free expression of hostile-submissive qualities are more likely to benefit from treatment.

There was a positive but not necessary relationship between client improvement in drinking behavior and client improvement in general adjustment. When compared with therapists' judgments, clients accurately reported the extent of their alcohol consumption.

The current research has bearing in terms of a controversy in the alcohol treatment field that has had a powerful impact in the last fifteen years. This controversy has affected the field politically as well as empirically. Following publication of a series of studies by Pettit, Sobell, and Sobell (1993) supporting the position that there is no reliable relationship between drinking outcome and treatment outcome in other areas, many treatment professionals took objection to this position. The thrust of this counter-position is that in order to treat the alcoholism, the drinking problem must be ameliorated. That is, until the client stops drinking or improves in his or her drinking behavior treatment cannot take place.

This controversy has manifested itself in issues surrounding assessment of treatment outcome. For example, some authors who have

accepted the latter position have argued that abstinence is the only viable treatment outcome. Others who have accepted the position presented by Pettigrew, Sobell, and Sobell (1977), have argued that control of the drinking problem is also a viable treatment outcome. These kinds of issues have had a pronounced impact on funding treatment programs as well as on interpretation and conceptualization of individual treatment cases.

The findings of the current research are consistent with the position advocated by Pettigrew, Sobell, and Sobell (1977). There was a positive but not necessary relationship between drinking outcome and changes in general adjustment. Further, it was clear that although five of the subjects in the study actually stopped drinking in conjunction with treatment, there was a general tendency for subjects to alter their drinking patterns.

An additional implication of the current research is that training for alcohol counselors should be designed to prepare them to provide the kind of environment in therapy for alcohol abusers to be able to freely exhibit hostile/submissive behavior. Further, training could also be designed to assist counselors in strategically approaching and manipulating issues clients have in terms of hostility and dependency.

## APPENDIX B

### INFORMED CONSENT FOR ALCOHOL COUNSELORS

As per the oral presentation that was made previously, you are being asked to participate in my dissertation research on the treatment of alcohol abuse. As you may be well aware, in the area of this kind of research, all of the information that is collected will be strictly confidential to the fullest extent provided by law and, bearing my legal action, will be used only for the purposes of this study. You are certainly free to choose not to participate in the research, and, should you choose to participate, you are free to discontinue at any time without penalty. Whether or not you choose to participate in this study should not have any bearing on your status as a counselor or on how your supervisors evaluate your work.

The explicit purposes of this study will not be available to you until all of the data have been collected. The experimental hypotheses, the results, and the implications of the results will be provided in written form upon completion of the study. Hopefully, the findings will have some positive impact on future treatment interventions you will make.

Each client that you see in individual counseling will be asked to participate in the study. For clients who consent to participate, you will be asked to audiotape each interview, including the first through the sixth sessions. Tapes and tape recordings will be destroyed. The remaining clients will be completing forms pertaining to their general adjustment and to their drinking patterns prior to and following counseling with you. You will be asked to evaluate the effects of counseling for each client on a questionnaire with five Likert-type items following completion of counseling or following the sixth interview, whichever comes first. In addition, you will be asked to label each audiotape with the date, identification of the client, and the particular session that is on that tape.

The research materials will be labeled with numbers for both clients and counselors in order to minimize the possibility that the research participants can be identified. Further, the individuals who score the questionnaires will be unfamiliar with you as counselors and will have no influence in terms of evaluating the quality of the treatment rendered during the counseling sessions.

The principal investigator is available to answer any inquiries you might have concerning the procedures. No monetary compensation is offered, however, your participation is genuinely appreciated.

I have read and I understand the procedure described above. I agree to participate in the procedure and I have received a copy of this description.

Subject \_\_\_\_\_

Date \_\_\_\_\_

Witness \_\_\_\_\_

Date \_\_\_\_\_

James E. Ansel  
Department of Clinical Services  
St. Mary of the Angels Hosp  
Convent Road  
Babylon, New York 11701  
(516) 676-8700

APPENDIX B  
CONSENT SHEET FOR DATA COLLECTION

1. Counselor number \_\_\_\_\_
  2. Client number \_\_\_\_\_  
(Please note your client number can be matched with the name of the client.)
  3. Age of client \_\_\_\_\_
  4. Sex of client \_\_\_\_\_
  5. Confirm whether or not this client was required to attend counseling by the court \_\_\_\_\_ Yes \_\_\_\_\_ No
  6. List the dates which you have interviewed this client including the intake. Please indicate any of the sessions which were not audiotaped.
- 
- 

**Reminders:**

1. Please let either the receptionists or yourself know as soon as a week interview with this client has been completed or as soon as you are reasonably sure that the client's treatment has been discontinued so that follow-up measures can be initiated. As much as possible, this should be done while the client is still at the clinic. It is important that clients who are only seen once for treatment are included.
2. Make sure that all audiotapes are labelled with your number, client number, date of the interview, and the sequence of the interview.
3. Complete the Rogers Ryckman Evaluation Form following the sixth interview with the client or following cessation of treatment.
4. Return all of the materials including this form, the Rogers Evaluation form, and the audiotapes to the receptionists or yourself.

Your participation is appreciated.

James Amos  
Principal Investigator

## APPENDIX C

### CONSENT FORM FOR CLIENTS CONSENT FOR RESEARCH

In order that we can develop the procedures and techniques that are used in treatment, we would like you to participate in a research study. We would like you to fill out some questionnaires before your counseling begins and then again after you have met with your counselor for a number of sessions. In addition, we would like to make audiotapes of your counseling sessions so that we can study them.

You are free to choose not to participate in this study, and if you do choose to participate, you are free to discontinue at any time. Whether or not you choose to participate in this study will have no bearing on who your counselor will be or on the kind of treatment you receive. All information that will be collected is strictly confidential to the fullest degree provided by legal and professional standards.

In exchange for your participation in the study a \$5 dollar check will be sent to you following receipt of the final questionnaires.

The members of the mental health center staff (including your counselor) are available to answer any questions that you have.

I have read and I understand the procedures described above. I agree to participate in the procedure and I have retained a copy of this description.

Subject \_\_\_\_\_ Date \_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

James C. Averil  
Department of Clinical Services  
60 Mary of the Angels Home  
Convent Road  
Syracuse, New York 11701

APPENDIX B  
PBO-TREATMENT  
CURRENT ADJUSTMENT RATING SCALE

Name \_\_\_\_\_

Age \_\_\_\_\_

Sex \_\_\_\_\_

Date \_\_\_\_\_

Name and Location of Treatment Facility \_\_\_\_\_

Rate yourself on the following questions by placing a ( ) check mark in the appropriate place along the scale. Be sure to answer all of the questions. If you are unsure of your answer, take your best guess.

1. Overall estimate of your current functioning.



2. Your current work adjustment.



3. Current relationships with friends and relatives



4. Current relationship with husband or wife (if not married, is closest spouse or sexual friend(s)).



5. Adequacy of current life adjustment.



6. Your current "likeability" how likeable are you to others as a person



7. To what extent are you living up to your potential in your work?



8. To what extent are you living up to your potential as a person?



9. Occupational adjustment



10. Sexual adjustment.



11. Current leisure time activity.



12. Current relationships with friends.





APPENDIX E  
INSTRUCTIONS FOR  
POST-TREATMENT QUESTIONNAIRES

These scales are designed for you to evaluate the effects of your current counseling. Answer the following questions according to your life experiences since you have entered counseling with this counselor.

# APPENDIX F

## POST-TREATMENT CURRENT ADJUSTMENT RATING SCALE

Sex \_\_\_\_\_

Age \_\_\_\_\_

Sex \_\_\_\_\_

Date \_\_\_\_\_

Name and location of treatment facility \_\_\_\_\_

Rate yourself on the following questions by placing a ( ) check mark in the appropriate place along the scales. Be sure to answer all of the questions. If you are unsure of your answer, make your best guess.

### 1. Overall estimate of your current functioning:



### 2. Your current work adjustment:



### 3. Current relationships with friends and relatives:



### 4. Current relationship with husband or wife (if not married, to closest opposite-sexed friend):



3. Adequacy of current life adjustment...



6. Your current "likeability". How likeable are you to others as a person



7. To what extent are you living up to your potential in your work?



8. To what extent are you living up to your potential as a person?



9. Occupational adjustment



10. Social adjustment



11. Current leisure time activity...



12. Current relationships with friends...



10. Change slide, you first entered treatment with your speech controller.



1. Abramowitz, E.W., Abramowitz, S.L., Schack, H.L., and Jackson, C. Differential effectiveness of directive and nondirective group therapies as a function of client internal-external control. Journal of Consulting and Clinical Psychology, 1974, 42, 304-309.
2. Ansel, J.C. International Styles of Eclectic Therapies. Unpublished master's thesis, University of Florida, 1981.
3. Aron, D.J., Pollack, J.B., and Stanbly, H.S. Alcoholism and Treatment. New York: John Wiley and Sons, 1979.
4. Asker, J.D., Ford, D.H., Queney, P.D., Jr., and Murray, L.P. Effects on clients of a reflective and teaching type of psychotherapy. Psychological Monographs, 1967, 21 (28, whole no.), 483.
5. Basse, M.E. The dependency-conflict hypothesis and the frequency of drunkenness: Further evidence from a cross-cultural study. Journal of Studies on Alcohol, 1974, 35, 493-497.
6. Becklund, P., and Lundholl, L. Dropping out of treatment: A critical review. Psychological Bulletin, 1974, 81, 734-751.
7. Bailey, R.B. Eclecticism and marriage: A review of research and professional literature. Journal of Studies on Alcohol, 1970, 31, 488-493.
8. Baker, E. The differential effects of two psychotherapeutic approaches on client perceptions. Journal of Consulting Psychology, 1965, 3, 46-50.
9. Bandura, A., Liberman, D.B., and Miller, P.B. Psychotherapists' approach-avoidance reactions to patients' expressions of hostility. Journal of Consulting and Clinical Psychology, 1968, 36, 1-6.
10. Barry, J.R. Therapists' responses as a function of level of therapist experience and attitude of the patient. Journal of Consulting and Clinical Psychology, 1975, 43, 219-223.
11. Berger, L.B. Structural Analysis of Social Behavior. Psychological Science, 1974, 51, 392-400.

- Barger-Dress, S., and Simon, E. Attitudes of paraprofessionals toward alcoholism: setting effects. Journal of Studies on Alcohol, 1976, 37, 464-470.
- Bergin, A.E., and Lambert, M.J. The evaluation of therapeutic outcomes. In A.E. Bergin and A.S. Porter (Eds.), Handbook of Psychotherapy and Behavior Change. In Behavioral Change, 5th Edn. John Wiley & Sons, 1977, pp. 139-191.
- Born, L. Group Family Play: The Psychology of Family Reintegrating. New York: Brunner Press, 1961.
- Bornes, J.J. Pessimistic-therapist matched. In A.S. Bornes and A.A. Davis (Eds.), Effective Psychotherapy: A handbook of Research. New York: Brunner Press, 1977, pp. 313-321.
- Bortles, J.J., Fisher, R.L., and Janney, L.B. The problem of inter-tuorv outcomes in monitoring therapeutic outcome: New data and multicriteria perspectives. Journal of General Psychology, 1978, 83, 12-19.
- Flans, R.T. The Personality of the Alcoholic. Series of Symposia, New York: Harper and Row, 1968.
- Flans, R.T., and Myers, R.B. Behavioral disorders and length of stay in psychotherapy among alcoholics. American Journal of Psychotherapy, 1967, 23, 423-432.
- John, R.J. Therapist responses to hostility and dependence as a function of training. Journal of Consulting Psychology, 1967, 35, 195-199.
- Jones, E., and Fa'lon, L.D. Interactional group therapy with alcoholics. Journal of Studies on Alcohol, 1977, 38, 436-446.
- Kutlock, S.E., and Malt, L.A. The interactional effects of alcoholism and marital conflict: The interaction of alcoholic husbands and their nonalcoholic wives during counseling. Journal of Psychotherapy, 1978, 35, 579-587.
- Leiden, S., Cline, L.B., and Crowley, M.B. Alcoholic Drinking Practices: A National Study of Drinking Behavior and Attitudes. New Brunswick, N.J.: 1967 (Alcohol Center at Alcohol Studies, Monograph No. 8).
- Quar, F.M. Personality factors related to participation in treatment by hospitalized male alcoholics. Journal of Clinical Psychology, 1966, 22, 104-110.
- Quar, F.M. Authoritarian attitudes, degree of pathology and preference for structured versus unstructured psychotherapy in hospitalized mental patients. Psychological Reports, 1971, 28, 3371-3384.

- Leider, R.S. Who will care for the alcoholic patient? Annals of Internal Medicine, 1977, 86, 382.
- Leisner, R.E., and Berenson, R.B. Second Counseling and Therapy. New York: Holt, Rinehart and Winston, 1981.
- Lerner, R.E. Introductory Concepts of Personality. Chicago, Illinois, 1980.
- Lentzen, D.L., O'Brien, R.L., and Fisher, R.B. Method factors in changes associated with psychotherapy. Journal of Abnormal and Social Psychology, 1983, 93, 168-175.
- Levin, R.L. A procedure for establishing therapeutic contact with the alcoholic. Journal of Studies on Alcohol, 1979, 40, 329-335.
- Loebel, J.A., Jordan, R.D., Treashaw, R.D., and Miller, P.J. Substance abuse attitude changes in medical students. British Journal of Psychology, 1977, 68, 379-384.
- Loebel, J.A., and Schmidt, R.B. Physician attitudes: effect on the treatment of alcoholically dependent patients. Journal of the American Medical Association, 1977, 237, 2716-2718.
- Lofth, E., Weiner, G., and Under, J. Training paraprofessionals in the treatment of alcoholism: effects on knowledge, attitudes and therapeutic techniques. Journal of Studies on Alcohol, 1978, 39, 138-142.
- Lowder, J.L. Relationships between therapist and client interpersonal behaviors and psychotherapy outcome. Journal of Counseling Psychology, 1972, 19, 68-75.
- Melstrom, R.G., and Welch, R.S. In 1975 handbook: A guide to the 15 clinical positions and research. Minneapolis: University of Minnesota Press, 1980.
- Metzger, C.S., and Martin, R. Client-therapist complementarity and therapeutic outcome. Journal of Counseling Psychology, 1978, 25, 184-192.
- Orlson, R.S., and Cohen, S. Evaluation of drugs in the treatment of alcoholism. Journal of Studies on Alcohol, 1979, 40, 573-578.
- Orvick, C.D. A review of psychologically oriented treatment of alcoholism. II. The relative effectiveness of different treatment approaches and the effectiveness of treatment versus no treatment. Journal of Studies on Alcohol, 1978, 39, 88-101.

- Fennell, E., and Berlier, S. Attitudes regarding alcoholism: Effect of the first year of the psychiatric residency. British Journal of Addiction, 1971, 66, 285-290.
- Fennell, E., and Fennell, R.D. Attitudes regarding alcoholism: The volunteer alcoholic clinic counselor. British Journal of Addiction, 1972, 67, 225-229.
- Fisher, J.D., Fisher, J.D., and Mason, R.L. Physicians and alcoholics: Rectifying behavior and attitudes of family-practice residents. Journal of Studies on Alcohol, 1974, 35, 1888-1893.
- Fisher, J.D., Guckler, R.A., Mason, R.L., and Fisher, J.V. Physicians and alcoholics: Factors affecting attitudes of family-practice residents toward alcoholism. Journal of Studies on Alcohol, 1975, 36, 428-433.
- Forester, R.E. The Diagnosis and Treatment of Alcoholism. Springfield, Illinois: Charles C. Thomas, 1968.
- Freedman, R.B., Lacey, T.F., Spence, A.G., and Gaffey, R.J. The interpersonal dimension of personality. Journal of Personality, 1965, 33, 343-367.
- Friedman, R.L., and Fies, R.B. Reactions of internal and external test-taking students to operating and behavior therapies. Journal of Consulting and Clinical Psychology, 1974, 42, 481.
- Garfield, S.L. Approaches to clinical variables in psychotherapy. In S.L. Garfield and A.J. Bergin (Eds.), Handbook of Psychotherapy and Behavior Change: An Empirical Analysis. New York: John Wiley & Sons, 1978, pp. 187-222.
- Garfield, S.L., and Bergin, A.E. Therapeutic conditions and outcome. Journal of Abnormal and Social Psychology, 1971, 77, 108-118.
- Gersl, E.L., and Swonger, R. Out-Patient Treatment of Alcoholism. Toronto: University of Toronto Press, 1968.
- Gerrits, J.B., Brouwers, C.B., and Brouwer, B. Psychofilm assistance in the outpatient treatment of alcoholism. Archives of General Psychiatry, 1973, 28, 445-452.
- Gleason, R.L., Little, J.B., and Morley, C.M. Evaluation of a training program for certified alcoholic counselors. Journal of Studies on Alcohol, 1960, 21, 8-20.
- Goby, H.J., Frieland, W.J., and Rossi, J.D. Structural components of an alcoholism treatment program: evaluations by patients and staff. Journal of Studies on Alcohol, 1974, 35, 1064-1071.
- Gordon, J.E. Leading and following psychotherapeutic techniques with experimentally induced regression and hostility. Journal of Abnormal and Social Psychology, 1947, 41, 423-432.



- Gross, S., and Horowitz, T.F. Attribution and clinical symptoms. Journal of Abnormal Psychology, 1983, 93, 882-888.
- Hamm, C. Attitudes toward problem drinkers: A critical factor in treatment recommendations. Journal of Studies on Alcohol, 1978, 39, 98-105.
- Hartke, D.A., and Gelpi, M.J. An examination of the attitudes of student nurses toward alcoholic patients. Nursing Research, 1980, 25, 88-90.
- Jellier, R., Myers, R.A., and Kline, L.V. Interviewer behavior as a function of standardized client roles. Journal of Consulting Psychology, 1962, 30, 311-322.
- McTi, S.C., Swartzon, C.A., and Davis, R. An MMPI factor analytic study of alcoholics, normals, addicts and criminals. Journal of Studies on Alcohol, 1962, 23, 413-431.
- Myer, J.P., and Sedman, S.R. Differentiating alcoholics from normals and normals with the MMPI. Journal of Clinical Psychology, 1964, 20, 85-95.
- Novitz, J.L., and Gelpi, D.A. Non-help-seeking views of employed alcoholics: A multilevel interpersonal profile. Journal of Studies on Alcohol, 1973, 34, 1736-1745.
- Novitz, J.L., and Gelpi, D. A multilevel interpersonal profile of employed alcoholics. Journal of Studies on Alcohol, 1969, 30, 64-76.
- Olson, R.C. Personality correlates and antecedents of drinking patterns in adult males. Journal of Consulting Psychology, 1966, 34, 4-12.
- Quasius, H.L., Hoffman, H., and Luger, R.E. Personality characteristics of alcoholics in college freshmen and at time of treatment. Journal of Studies on Alcohol, 1979, 40, 861-867.
- Quasius, H.L., and Porter, F.B. A profile instrument for the quantification and assessment of alcohol consumption: The Quasius Alcohol Test. Journal of Studies on Alcohol, 1979, 40, 1529-1538.
- Quasius, F.B., and Novitz, J.L. Effects of structure on session group therapy and locus of control on therapeutic outcome. Journal of Consulting and Clinical Psychology, 1974, 42, 312.
- Quasius, F.B. Attitudes toward alcohol and alcoholism among professionals and nonprofessionals. Journal of Studies on Alcohol, 1979, 40, 327-337.

- Witty, E.M. Some effects of group and sex on attitudes toward alcohol and abstinence. British Journal of Addiction, 1966, 21, 15-17.
- Witty, E.M., and Fido, R. Professional education in understanding and treating alcoholism: A desensitization project. Journal of Studies on Alcohol, 1976, 40, 689-691.
- Wise, M.J. Attitudes of psychologists toward alcoholism. Journal of Clinical Psychology, 1969, 25, 448-450.
- Wise, M.J. Attitudes of psychiatrists and psychologists toward alcoholism. American Journal of Orthopsychiatry, 1971, 41, 1175-1179.
- Wise, M.J. Attitudes of psychology graduate students toward drug abuse. Professional Psychology, 1974, 3, 185-189.
- Wise, M.J. Attitudes of psychologists toward drug abusers. Journal of Clinical Psychology, 1974, 30, 171-184.
- LaFrance, E., Lerry, T.P., Narveson, R., Coffey, R.S., and Freedman, R.S. The interpersonal dimension of personality. II. An objective study of repression. Journal of Personality, 1964, 32, 175-184.
- LaFrance, E., and Lerry, T. The interpersonal dimension of personality. III. An interpersonal check list. Journal of Personality, 1966, 34, 103, 94-112.
- Lewin, K. A Handbook of RPI Group Profile. Minneapolis: University of Minnesota Press, 1948.
- Lerry, T. Interpersonal Diagnosis of Typology: A Functional Theory and Techniques for Personality Collection. New York: Knopf, 1967.
- Lerry, T., and Coffey, R.S. The prediction of interpersonal behavior in group psychotherapy. Psychiatry and Social Psychotherapy Research, 1968, 38, 7-15.
- Levine, J.R. Dependency in married alcoholics. Journal of Studies on Alcohol, 1962, 22, 686-696.
- Lewis, A.S., Rappaport, P.-G., and Mackay, P.-G. A study of employee attitudes toward patients in a hospital for the treatment of drug addiction. Psychiatric Quarterly, 1961, 31, 216-219.
- Lysacky-Jansberg, J.B. Etiology of alcoholism. Journal of Consulting and Clinical Psychology, 1969, 33, 14-20.
- Lynn, S., Lysacky, P.-F., and McNeil, J.M. Interpersonal types among psychiatric patients. Journal of Abnormal Psychology, 1976, 85, 561-572.

- Lorr, M., and Rabin, D.B. In interpersonal behavior circle. Journal of Abnormal and Social Psychology, 1963, 67, 68-75.
- Lorr, M., and Rabin, D.B. Expansion of the Interpersonal Behavior Circle. Journal of Personality and Social Psychology, 1966, 3, 403-408.
- Lorr, M., Rabin, D.B., Whitson, S.W., and Smith, J. Frequency of smiling and change in psychotherapy. Journal of Abnormal and Social Psychology, 1967, 68, 281-282.
- Liberman, L., Chandler, R., Asenbach, A.E., Cohen, J., and Aschbach, E.M. Factors influencing the success of psychotherapy: A review of quantitative research. Psychological Bulletin, 1971, 76, 188-198.
- MacLennan, C., and Edgerton, S.B. Designing Experiments, A Social Orientation. Chicago: Aldine, 1963.
- MacLennan, C., and Seertson, S.B. An analysis of the responses of alcoholics to Scale 4 of the MMPI. Journal of Studies on Alcohol, 1963, 24, 73-88.
- McFord, W., and McFord, J. Principles of Research. Stanford, Calif.: Stanford University Press, 1962.
- McFord, W., and McFord, J. A longitudinal study of the personality of alcoholics. In B.J. Fennell and G.R. Snyder (Eds.), Alcoholism, Culture, and Changing Patterns. New York: Wiley, 1967.
- MacDonald, L.B., and Patah, A.E. Attitudes toward alcoholism. British Medical Journal, 1975, 3, 626-627.
- Mealey, R.E. Views of recovering and mental-health groups about alcoholics. Journal of Studies on Alcohol, 1967, 28, 382-387.
- Melamed, E.G., and Benjamin, J.J. Whatever happened to interpersonal diagnosis? A psychoanalytic alternative to DSM-III. American Psychologist, 1979, 34, 17-28.
- Mendelsohn, J.H., Mecher, R., Kussow, P.E., Kervin, R., Lydenberg, E., and Johnson, P. Physicians' attitudes toward alcoholic patients. Archives of General Psychiatry, 1964, 11, 283-289.
- Wiles, H., Kervin, E.G., and Pincusgar, J.E. Evaluation of psychotherapy. Psychoanalytic Review, 1971, 48, 83-105. (a)
- Wiles, H., Kervin, E.G., and Pincusgar, J.E. The problem of evaluation of psychotherapy: With a follow-up study of 81 cases of anxiety neurosis. Journal of Nervous and Mental Disease, 1971, 135, 355-365. (b)

- Miller, E.B., and Foster, E.G. Treating the problem drinker: Roderic approaches. In E.B. Miller (Ed.), The Addictive Behaviors: Treatment of Alcoholism, Drug Abuse, Smoking and Sexuality. New York: Pergamon, 1980, pp. 15-19.
- Miller, E. Modern Psychoanalysis. Philadelphia: Saunders, 1980.
- Moore, J. The role of the therapist in assessing psychotherapy outcome. In A.E. Gurman and A.M. Mastin (Eds.), Effecting Psychotherapy: A Handbook of Research. New York: Pergamon Press, 1977, pp. 108-202.
- Moore, J., Liberman, L., and Auerbach, A. Dimensions of psychotherapy: A factor-analytic study of ratings of psychotherapy sessions. Journal of Consulting and Clinical Psychology, 1971, 39, 104-120.
- Mitchell, E.B., Schwartz, J.B., and Grant, E.C. A reappraisal of the therapist's effectiveness of alcoholic addicts, noncontingent warmth and punishment. In A.E. Gurman and A.M. Mastin (Eds.), Effecting Psychotherapy: A Handbook of Research. Oxford: Pergamon Press, 1977.
- Moger, E.B., Arls, L.T., Cookner, R.B., Cookner, R.B., and Wilson, R.M. Staff attitudes toward the alcoholic patient. Archives of General Psychiatry, 1971, 21, 449-454.
- Muller, E.B., and Collins, E.A. Therapist-client interaction behavior and personality characteristics of therapists. Journal of Personality Assessment, 1968, 32, 281-288.
- Nunnally, J.C. A content-analysis method for studying psychotherapy. Psychological Monographs, 1960, 73, No. 475.
- Orlitz, E.G. Alcoholics' perceptions of selected counseling techniques. British Journal of Addiction, 1975, 70, 147-151.
- Grant, J.B. Sociological variations in the structure of deviant types: A multivariate comparison of alcoholics and heroin addicts. Social Forces, 1976, 55, 415-437.
- Orford, J. A comparison of alcoholics whose drinking is totally uncontrolled and those whose drinking is totally controlled. Behavior Research and Therapy, 1973, 11, 446-454.
- Orlitzky, E.C., and Howard, E.I. The relation of process to outcome in psychotherapy. In S.L. Garfield and A.E. Bergin (Eds.), Handbook of Psychotherapy and Behavior: An Empirical Analysis. New York: John Wiley and Sons, 1978.
- Parker, E.B.C. New characteristics of therapist behavior in the psychotherapy interview. Journal of Consulting and Clinical Psychology, 1977, 45, 203-214.

- Barlett, M.F., Wexley, L.E., and Wells, R.L. Research on therapist attitudes in relation to patients and outcome. In E.A. Hartfield and A.C. Rennie (Eds.), Handbook of Psychotherapy and Behaviour Change: An Empirical Analysis. New York: John Wiley and Sons, 1974.
- Battison, L.R. A critique of alcoholism treatment concepts with special reference to abstinence. Journal of Studies on Alcohol, 1969, 30, 49-71.
- Battison, L.R., Lee, R., and Barr, R.D. Population variation among alcoholism treatment facilities. International Journal of Addiction, 1974, 1, 176-206.
- Benderson, T.E., Henfield, G.B., Glaser, R.O., and Gottschalk, L.A. Abstinence and alcohol drinking: An assessment of changes in drinking patterns in alcoholism after treatment. Quarterly Journal of Studies on Alcohol, 1969, 30, 410-428.
- Battison, L.R., Sobell, M.B., and Sobell, L.C. Measuring Concepts of Alcohol Dependence. New York: Springer, 1977.
- Pope, S. Research on therapeutic style. In E.B. Searan and L.R. Rennie (Eds.), Effective Psychotherapy: A Handbook of Research. Oxford: Pergamon Press, 1977.
- Apert, E.J., and Flansburg, J. Factors affecting attendance at an alcoholic day hospital. British Journal of Addiction, 1978, 73, 289-292.
- Baker, G.C. The paradoxical alliance between physician and alcoholic patient. Maryland State Medical Journal, 1975, 25, 34-41.
- Lee, J.B., and Browne, J. Interpersonal problems in alcoholic marriages. British Journal of Psychiatry, 1979, 135, 484-489.
- Baker, G.C. An inquiry into general practitioners' opinions about alcoholism. British Journal of Addiction, 1968, 63, 103-111.
- Battison, L.R. Therapist and patient perceptions of hospitalized alcoholism. Journal of Clinical Psychology, 1979, 35, 941-945.
- Reade, L.R. British Children Grow Up: A Sociological and Psychological Study of Developmental Process. Baltimore: Williams and Wilkins, 1964.
- Boyer, L.R., Bates, R.H., and O'Neil, P. Adult drinking patterns of former problem drinkers. In S. Pittman and C. Geynes (Eds.), Alcohol, Illness, and Drinking Patterns. New York: Wiley, 1981.
- Battison, L., and Palmer, P. Resistance of psychiatrists to treatment of alcoholism. Journal of Nervous and Mental Disease, 1968, 125, 280-284.

- Rogers, C., and Swenson, A. Psychotherapy and Personality Change. Chicago: University of Chicago Press, 1964.
- Rosen, A.C. A comparative study of alcoholics and psychiatric patients with the MMPI. Journal of Studies on Alcohol, 1968, 29, 333-345.
- Rosenbaum, P.D. Public health versus the treatment of alcohol abusers. Canadian Journal of Public Health, 1977, 68, 585-589.
- Rosenberg, L.R. Drug resistance in the outpatient treatment of chronic alcoholism. Archives of General Psychiatry, 1974, 30, 373-377.
- Rosenberg, L.R., Gerstein, J.B., Hooper, S., and Lofth, J. Evaluation of training of alcoholism counselors. Journal of Studies on Alcohol, 1976, 37, 1234-1244.
- Rosenberg, L.R., and Lofth, J. Use of exercise in the outpatient treatment of alcoholism. Journal of Studies on Alcohol, 1976, 37, 58-63.
- Russell, P.D., and Snyder, M.B. Counselor anxiety in relation to amount of alcohol dependence and quality of affect demonstrated by clients. Journal of Consulting and Clinical Psychology, 1963, 27, 386-393.
- Sacks, P.R., Thomas, P.D., and Swisher, S.B. Recurring themes in group psychotherapy with alcoholics. Psychiatric Quarterly, 1967, 31, 434-461.
- Sanford, R. Personality and patterns of alcohol consumption. Journal of Consulting and Clinical Psychology, 1964, 30, 13-17.
- Schiffman, L.D. The intake interview in psychotherapy: client therapist relationship and role behavior. Disertation Abstracts International, 1978, 18(3-4), 600.
- Schiffman, L.D. Private practice and community mental health. Basic and Community Psychiatry, 1964, 11, 363-381.
- Schutz, M.B. ERP: A Three-dimensional Theory of Interpersonal Behavior. New York: Holt, Rinehart and Winston, 1963.
- Slifer, A. Rationale for the technique of psychotherapy with alcoholics. International Journal of Psychoanalysis and Psychotherapy, 1974, 3, 35-47.
- Snyder, M.B. Dependency in Psychotherapy: A Casebook. New York: Brunner, 1963.
- Sobell, M.B., and Sobell, L.C. Second-year treatment outcome of alcoholics treated by individualized behavior therapy. Annals of Behavior Research and Therapy, 1976, 14, 115-118.

- Sobell, M.C., Sobell, L.C., and Smailes, F.A. The validity of self-reports of acute alcohol-related errors by alcoholics. Scientific Journal of Studies on Alcohol, 1984, 20, 379-383.
- Soon, R.A., and Lister, R.B. Attitudes of hospital staff toward alcoholics and drug addicts. Journal of Studies on Alcohol, 1980, 20, 212-214.
- Stanes, R.H., and Pittman, L.J. The concept of motivation: A source of institutional and professional blockage in the treatment of alcoholics. Journal of Studies on Alcohol, 1981, 20, 41-57.
- Strupp, H.H. A reformulation of the dynamics of the therapist's contribution. In L.R. Gorman and H.H. Strupp (Eds.), Reflecting Psychotherapy: A Handbook of Research. Oxford: Pergamon Press, 1977.
- Strupp, H.H., and Bergin, A.E. The empirical and conceptual bases for experimental research in psychotherapy: A critical review of issues, trends, and evidence. International Journal of Psychoanalysis, 1980, 1, 33-50.
- Sullivan, R.B. Collected works. New York: Basic Books, 1981.
- Switzerland, L.L., Schneider, R.A., and Tardella, C.L. Personality traits and the alcoholic: A critique of existing studies. Journal of Studies on Alcohol, 1980, 11, 341-361.
- Swanson, C.R. Psychotherapy as a special case of dyadic interaction: Some suggestions for theory and research. Psychotherapy: Theory, Research and Practice, 1987, 3, 7-15.
- Swanson, C.R. Introduction to Interpersonal Relating. Glenview, Ill.: Scott Foresman, 1972.
- Syn, L. Personality characteristics and the alcoholic: A critique of current studies. Journal of Studies on Alcohol, 1987, 18, 283-288.
- Tries, R.H., and Balaban, J.A. Supervisory training about alcoholism and other problem employees: A controlled evaluation. Journal of Studies on Alcohol, 1986, 18, 382-388.
- Tries, R.H., and Bowen, J.B. A sociological property of drugs: Acceptance of users of alcohol and other drugs among university undergraduates. Journal of Studies on Alcohol, 1977, 28, 38-74.
- Truss, C.H. Degree of negative transference occurring in group psychotherapy and client outcome in juvenile delinquents. Journal of Clinical Psychology, 1971, 27, 123-126.
- Van Bortelschot, L. General System Theory. (Translated, Dutchman, Jullingschoten). New York: Praeger, 1977.

- Ward, R.P., and Follace, L.A. The alcoholic and his helpers: A systems view. Journal of Studies on Alcohol, 1979, 21, 688-693.
- Waring, M.L. The impact of specialized training for alcoholism on management-level professionals. Journal of Studies on Alcohol, 1979, 20, 406-415.
- Widom, C.S., Aluw, P.J., Sanders, R., and Ben, L.C. Responsivity of patients, psychotherapists' responses, and success of psychotherapy. Journal of Consulting and Clinical Psychology, 1982, 50, 126-134.



## BIOGRAPHICAL SKETCH

The author was born and raised in a rural community in Lancaster County, Pennsylvania. After graduation from the Pennsylvania Public School System in 1959, he attended Bucknell University from 1960 to 1966 where he received nursing bachelors in science and nursing. In June, 1966, he graduated cum laude in psychology.

In September, 1966, the author received an appointment as a research assistant and instructor in social psychology at his alma mater. In September, 1967, he was admitted into the doctoral program in counseling psychology at the University of Florida. During his tenure there, the author was instrumental in developing and administering a treatment program for alcohol and substance abuse for students and staff. This program is housed in the University Educational and Psychological Counseling Center.

The author was married to Esther Lander-Weiss in September, 1968. He and his wife moved to Norfolk, Nebraska, in August, 1969, where he served his internship in clinical and community psychology at the Northern Nebraska Comprehensive Mental Health Center. The couple moved to New York City in September, 1969, so that Esther could begin graduate training in the doctoral program in clinical psychology at Long Island University. The author is currently employed as a clinical psychologist working with juvenile delinquents at Saint Mary of the Angels Home in Syosset, New York.

I certify that I have read this study and that in my opinion it conforms to acceptable standards of scholarly presentation and is fully adequate, in scope and quality, as a dissertation for the degree of Doctor of Philosophy.

  
Harry Miller, Chairman  
Professor of Psychology

I certify that I have read this study and that in my opinion it conforms to acceptable standards of scholarly presentation and is fully adequate, in scope and quality, as a dissertation for the degree of Doctor of Philosophy.

  
David Sachman  
Associate Professor of Psychology

I certify that I have read this study and that in my opinion it conforms to acceptable standards of scholarly presentation and is fully adequate, in scope and quality, as a dissertation for the degree of Doctor of Philosophy.

  
George Gosselin  
Assistant Professor of Educational  
Psychology

I certify that I have read this study and that in my opinion it conforms to acceptable standards of scholarly presentation and is fully adequate, in scope and quality, as a dissertation for the degree of Doctor of Philosophy.

  
Stanley W. Smith  
Associate Professor of Psychology

I certify that I have read this study and that in my opinion it conforms to acceptable standards of scholarly presentation and is fully adequate, in scope and quality, as a dissertation for the degree of Doctor of Philosophy.

  
Stanley W. Smith  
Professor of Psychology

This dissertation was submitted to the Graduate Faculty of the Department of Psychology in the College of Liberal Arts and Sciences and to the Graduate School, and was accepted in partial fulfillment of the requirements for the degree of Doctor of Philosophy.

August 1961

\_\_\_\_\_ Dean of Graduate Studies and Research